

Conjuntos ω -límite y Entropía Topológica de Aplicaciones Triangulares Bidimensionales

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Introducción

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$\text{Tra}_{\psi}(x) = \{\psi^n(x)\}_{n=0}^{\infty}$, $\psi^n = \psi^{n-1} \circ \psi$ si $n > 0$ y $\psi^0 = \text{Id}_{\mathbb{X}}$

Definición

Definimos el conjunto ω -límite de un punto $x \in \mathbb{X}$ a través de una aplicación continua ψ como el conjunto:

$$\omega_\psi(x) = \bigcap_{m \geq 0} \overline{\bigcup_{n \geq m} \psi^n(x)},$$

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o equivalentemente, como el conjunto de los puntos de acumulación de $\text{Tra}_{\psi}(x)$:

$$\omega_{\psi}(x) = \{y \in \mathbb{X} : \exists \{n_k\}_{k=1}^{\infty} \subseteq \mathbb{N} \text{ tal que } \lim_{n_k \rightarrow \infty} \psi^{n_k}(x) = y\}.$$

Definición

Consideremos $\varepsilon > 0$ y n un entero positivo. Un subconjunto $E \subseteq \mathbb{X}$ se dice (n, ε) -separado para ψ , si para cada $x \neq y \in E$ existe $j \in \{0, 1, \dots, n-1\}$ tal que $d(\psi^j(x), \psi^j(y)) > \varepsilon$.

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$$h(\psi) = \lim_{\varepsilon \rightarrow 0} \limsup_{n \rightarrow \infty} \frac{1}{n} \cdot \log \left(\sup_E \text{card}(E) \right)$$

donde el supremo se toma con relación a todos los subconjuntos (n, ε) -separados E de \mathbb{X} .

Planteamiento de Problemas

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$$\mathcal{W}(\psi) := \{\omega_{\psi}(\mathbf{x}) : \mathbf{x} \in \mathbb{X}\} \text{ y } \mathcal{W}_{\mathcal{F}} := \{\omega_{\psi}(\mathbf{x}) : \psi \in \mathcal{F} \text{ y } \mathbf{x} \in \mathbb{X}\}.$$

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- ($\bar{P}1$) Dada una subfamilia de conjuntos $\mathcal{A} \subset \mathcal{W}_{\mathcal{F}}$, **definir explícitamente** un elemento ψ de \mathcal{F} tal que $\mathcal{W}(\psi) = \mathcal{A}$.

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- ($\bar{P}1$) Dada una subfamilia de conjuntos $\mathcal{A} \subset \mathcal{W}_{\mathcal{F}}$, **definir explícitamente** un elemento ψ de \mathcal{F} tal que $\mathcal{W}(\psi) = \mathcal{A}$.
- (P2) Analizar la **existencia** de elementos universales y d-universales (universales en sentido débil) en la clase de aplicaciones \mathcal{F} para la familia de conjuntos $\mathcal{W}_{\mathcal{F}}$. [▶ Definiciones](#)

Problemas

(P3) Estudiar las **propiedades de clausura** en el espacio de todos los subconjuntos compactos y no vacíos de \mathbb{X} , $\mathcal{K}(\mathbb{X})$, dotado con la topología métrica de Hausdorff, d_H , de la familia de conjuntos ω -límite de generados por un elemento $\psi \in \mathcal{F}$.

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- (P4) Obtener para los elementos de \mathcal{F} **caracterizaciones** de la propiedad de poseer entropía topológica cero.

La clase $\mathcal{C}_\Delta(\mathbb{I}^2)$

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Definición

Una aplicación $F \in \mathcal{C}(\mathbb{I}^2)$ se llama **triangular** si es de la forma $F : (x, y) \rightarrow (f(x), g(x, y))$.

Guide of Chapters

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Chapter 1. Notation and Preliminary Results

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- **Recurrent Points.** $\text{Rec}(\psi) = \{x \in \mathbb{X} : x \in \omega_\psi(x)\}$

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The **topological entropy**.

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Measures of Chaos

The **topological entropy**.

The Chaos in the sense of **Li and Yorke**.

Definition

Let \mathbb{X} be a compact metric space and $\psi \in \mathcal{C}(\mathbb{X})$. A pair of points $\{x, y\} \subset \mathbb{X}$ is called a **Li-Yorke pair** of ψ , if simultaneously is held:

$$\liminf_{n \rightarrow \infty} d(\psi^n(x), \psi^n(y)) = 0 \text{ and } \limsup_{n \rightarrow \infty} d(\psi^n(x), \psi^n(y)) > 0.$$

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Definition

Let \mathbb{X} be a compact metric space, $\psi \in \mathcal{C}(\mathbb{X})$ and $A \subseteq \mathbb{X}$. The map $\psi|_A$ is **chaotic** (in the sense of Li and Yorke) if A contains at least a Li-Yorke pair of ψ .

Original Definition

(\mathbb{I}, f) where $f \in \mathcal{C}(\mathbb{I})$

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Is true that the existence of a Li-Yorke pair implies the existence of an uncountable number of them?

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Question 1

Is true that the existence of a Li-Yorke pair implies the existence of an uncountable number of them?

The answer in general is **NOT**, Forti, Paganoni and Smítal presented a map defined on \mathbb{I}^2 (triangular) generating only Li-Yorke pairs, i.e., the maximal cardinality of its scrambled sets is two.

Question 2. Appendix

What kind of compact metric spaces admit continuous maps generating only two points scrambled sets?

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Theorem 5.4.2.(joint with M. Lampart)

There exists a continuous selfmap defined on a Cantor set space (closed, without isolated points and totally disconnected), such that every scrambled sets has exactly two points.

Theorem 5.4.4.(joint with M. Lampart)

There exists a continuum $\mathbb{X} \subset \mathbb{R}^2$ (nonempty compact and connected space) with empty interior and an homeomorphism h on \mathbb{X} , such that every scrambled sets has exactly two points.

ω -limit sets on $C_{\Delta}(\mathbb{I}^2)$

Why to use triangular maps?

- As a consequence of their special morphology, triangular maps have some similarities with interval ones.

ω -limit sets on $\mathcal{C}_\Delta(\mathbb{I}^2)$

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- As a consequence of their special morphology, triangular maps have some similarities with interval ones. For example, the **Sharkovskii's theorem** concerning periodic structure is true on $\mathcal{C}_\Delta(\mathbb{I}^2)$, there exists a result by Kolyada which links the special points sets of the basis map and the triangular map, there exists some formulas and bounds by Bowen to compute the topological entropy,...

Why to use triangular maps?

- Concerning ω -limit sets for the elements of $\mathcal{C}_\Delta(\mathbb{I}^2)$ we have two extra results due to by Kolyada and Snoha which complete the results of Agronski and Ceder for transformations on \mathbb{I}^n .

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1. $Per(F) = Fix(F) = I_0$ ($I_0 = \{0\} \times \mathbb{I}$),
2. $\omega_F(1, 1) = I_0$,
3. $h(F) = 0$.

In some places as a consequence of its definition and for having a property which not appear in the one-dimensional setting the map has been considered as a map with a **complicated dynamics**.

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For instance in [Arteaga,JMAA 94], is established that the **non-wandering** points set of F , $\Omega(F)$, is different to the periodic points set. ($x \in \Omega(F)$ if for each neighborhood $\mathcal{U}(x)$, there exists a positive integer n such that $F^n(\mathcal{U}) \cap \mathcal{U} \neq \emptyset$)

Theorem 2.1.7. (joint with Balibrea and Muñoz)

Let F be the Kolyada's triangular map. Then the following property holds:

$\omega_F(a, b) = I_0$ for each $(a, b) \in \mathbb{I}^2 \setminus I_0$, where $I_0 = \text{Per}(F) = \text{Fix}(F)$.

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Corollary 2.1.7.1.

Let F be the Kolyada's triangular map. Then we completely describe the family $\mathcal{W}(F)$:

$$\mathcal{W}(F) = I_0 \cup \{(0, c)\}_{c \in \mathbb{I}}.$$

$(\bar{P}1)$ for a map on $\mathcal{C}_\Delta(\mathbb{I}^3)$

In 2003, Balibrea, Reich and Smítal (see [BRS, IJBC 03]) stated the following problem:

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In 2003, Balibrea, Reich and Smítal (see [BRS, IJBC 03]) stated the following problem:

Problem

Find a triangular map explicitly defined on \mathbb{I}^3 (i.e., a continuous map of the form $(x, y, z) \rightarrow (f(x), g(x, y), h(x, y, z))$) such that the ω -limit sets of all its points except those of a face composed by fixed points (which can assume without loss of generality $I_0^2 = \{0\} \times \mathbb{I}^2$) is equal to I_0^2 .

Theorem 2.2.12 (joint with Balibrea and Muñoz)

There exists a map F on \mathbb{I}^3 (triangular) holding:

$$\omega_F(x, y, z) = I_0^2 \text{ if } (x, y, z) \notin I_0^2$$

and $\text{Fix}(F) = I_0^2$.

Main ideas of the construction

We define a map of the form:

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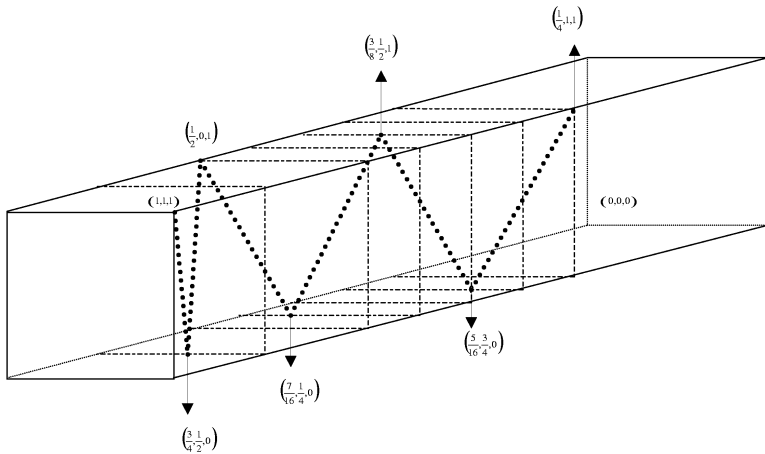
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Main ideas of the construction

We define a map of the form:

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- The basis map $f(x)$ is a basis of Kolyada type, for adequate parameters (we obtain **less speed** for going to the left),
- The maps g and h are stylized modifications of the fiber map introduces by Kolyada, in order to **adjust** and **synchronize** the movement of each coordinated for obtaining the result.



Chapter 3. Universal maps regarding $\mathcal{W}_{\mathcal{F}}$

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Types of Universality

- $\psi \in \mathcal{F}$ is universal regarding the sets of $\mathcal{W}_{\mathcal{F}}$ iff $\mathcal{W}(\psi) = \mathcal{W}_{\mathcal{F}}$.

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Types of Universality

- $\psi \in \mathcal{F}$ is universal regarding the sets of $\mathcal{W}_{\mathcal{F}}$ iff $\mathcal{W}(\psi) = \mathcal{W}_{\mathcal{F}}$.
- $\psi \in \mathcal{F}$ is w-universal regarding the sets of $\mathcal{W}_{\mathcal{F}}$ iff $\mathcal{W}(\psi) = \mathcal{W}_{\mathcal{F}}$ “**up to homeomorphism**”.

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Theorem (Pokluda-Smítal)

There exists a w -universal maps on $\mathcal{C}(\mathbb{I})$ for the elements of $\mathcal{W}_{\mathcal{C}(\mathbb{I})}$.

Universality

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Definition

Let \mathbb{X} be a compact metric space. We say that \mathbb{X} is **ω -degenerated** (resp. ω -degenerated for $\mathcal{W}_{\mathcal{F}}$) if $\mathcal{W}_{C(\mathbb{X})} = \{\{x\} : x \in \mathbb{X}\}$ (resp. $\mathcal{W}_{\mathcal{F}} = \{\{x\} : x \in \mathbb{X}\}$).

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Theorem 3.1.3. (with Chudziak, Snoha and Spitalský)

Let \mathbb{X} be a compact metric space. The sets of $\mathcal{W}_{C(\mathbb{X})}$ (resp. $\mathcal{W}_{\mathcal{F}}$) admits a universal map if and only if \mathbb{X} is ω -degenerated (resp. for $\mathcal{W}_{\mathcal{F}}$ and $Id_{\mathbb{X}} \in \mathcal{F}$). The only universal map is the identity.

Proposition 3.1.2.

Let \mathbb{X} be a compact metric space. Then

- (a) If \mathbb{X} is a **rigid continuum** (i.e., the only continuous selfmaps are the identity and the constant maps), then \mathbb{X} is ω -degenerated.

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- (a) If \mathbb{X} is a **rigid continuum** (i.e., the only continuous selfmaps are the identity and the constant maps), then \mathbb{X} is ω -degenerated.
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- (a) If \mathbb{X} is a **rigid continuum** (i.e., the only continuous selfmaps are the identity and the constant maps), then \mathbb{X} is ω -degenerated.
- (b) If \mathbb{X} is ω -degenerated, then it is **connected**.
- (c) If \mathbb{X} is ω -degenerated, then for each $f \in \mathcal{C}(\mathbb{X})$ and each $x \in \mathbb{X}$ the sequence $(f^n(x))_{n \geq 1}$ converges to a fixed point.

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What is the situation if we reduce the family of sets with regard to the universality?

For example, we consider for the class of triangular maps the family of interval sets located on a fiber (without loss of generality we consider it as I_0), i.e.,

$$\mathcal{A} = \{\{0\} \times [a, b] : a \leq b, a, b \in \mathbb{I}\}.$$

Question

Does exist a map F on $\mathcal{C}_\Delta(\mathbb{I}^2)$ such that F is universal for the elements of \mathcal{A} , i.e., $\mathcal{A} \subset \mathcal{W}(F)$?

Question

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The answer is **YES**.

Theorem 3.2.5. (with Balibrea and Muñoz)

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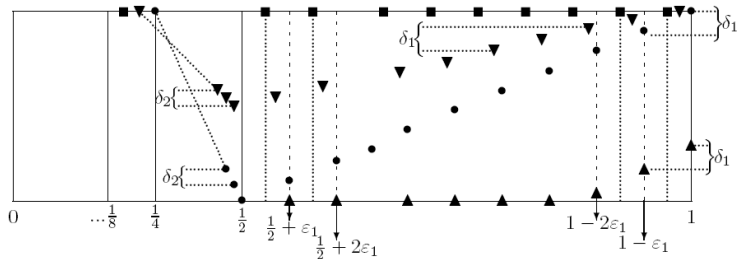
(A) For every $[a, b] \subseteq \mathbb{I}$, $a \leq b$, there exists a point $(p, q) \in \mathbb{I}^2 \setminus I_0$ such that $\omega_F(p, q) = \{0\} \times [a, b]$.

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- (B) Given $(p, q) \in \mathbb{I}^2$, there exists a compact interval $J \subseteq \mathbb{I}$, degenerate or not, such that $\omega_F(p, q) = \{0\} \times J$.

Ideas of the construction



Weak Universality

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Corollary 3.3.5.1.

Let \mathbb{M} be a compact m -dimensional manifold with $m \geq 2$. Then, there is **no w-universal** map for the sets of $\mathcal{W}_C(\mathbb{M})$.

Lemma 3.3.7.

Let \mathbb{S}^1 be the unit circle. Then, there is **no w-universal** map for the sets of $\mathcal{W}_{\mathcal{C}(\mathbb{S}^1)}$.

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Let \mathbb{S}^1 be the unit circle. Then, there is **no w-universal** map for the sets of $\mathcal{W}_{\mathcal{C}(\mathbb{S}^1)}$.

Case \mathbb{X} is a m -dimensional Manifold:

Corollary 3.3.7.1. (with Chudziak, Snoha and Spitalský)

Let \mathbb{M} be a m -dimensional compact manifold ($m \geq 1$). Then, $\mathcal{W}_{\mathcal{C}(\mathbb{M})}$ admits a w-universal map if and only if \mathbb{M} is an **arc** (and thus $m = 1$).

Case \mathbb{X} is a Graph:

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Theorem 3.3.10. (with Chudziak, Snoha and Spitalský)

Let \mathbb{X} be a graph. Then, $\mathcal{W}_{c(\mathbb{X})}$ admits a w-universal map if and only if the space \mathbb{X} is an **arc**.

Chapter 4. Hausdorff closure of $\mathcal{W}(\psi)$

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Given a map $\psi \in \mathcal{F}$, $\mathcal{F} \subseteq \mathcal{C}(\mathbb{X})$, we are going to study if the family $\mathcal{W}(\psi)$ is **closed** in the space $\mathcal{K}(\mathbb{X})$ of all non-empty compact subsets of \mathbb{X} endowed with the Hausdorff metric topology d_H .

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The case of having the closure property

If $\mathcal{W}(\psi)$ is d_H -closed, then it is possible to construct ω -limit sets of ψ as a limit of d_H -convergent sequences of sets on $\mathcal{W}(\psi)$.

Theorem (Blokh, Bruckner, Humke and Smítal)

If $f \in \mathcal{C}(\mathbb{I})$, then $\mathcal{W}(f)$ is d_H -closed.

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What is the situation on spaces of dimension higher than one?

In general, the closure property is **not true** and easy counterexamples can be constructed.

We center our attention as usual on \mathbb{I}^2 :

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Counterexample

Let f be the identity map on \mathbb{I} and consider as a fiber map, for each $x \in \mathbb{I}$ a transitive map g_x (i.e., $\exists y \in \mathbb{I}$ s.t. $\omega_{g_x}(y) = \mathbb{I}$) such that $g_x \rightarrow Id_0$ when $x \rightarrow 0$.

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Then, the triangular map $F(x, y) = (f(x), g(x, y))$
($g(x, y) = g_x(y)$) does not holds the closure property.

$$\left(\bigcup_{x \in \mathbb{I}^2} \omega_F(x)\right) = \mathbb{I}^2 \setminus I_0$$

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Question

If by $\mathcal{C}_{\Delta}^{\text{fib}}(\mathbb{I}^2)$ we denote the family of all triangular maps which generate ω -limit sets contained in one fiber.

Is it true the closure property for the elements of $\mathcal{C}_{\Delta}^{\text{fib}}(\mathbb{I}^2)$?

The answer is **negative** as it is showed in the following result:

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(\mathcal{A}) There exists a sequence of points $\{(p_i, q_i)\}_{i \in 2\mathbb{N}^*} \subset \mathbb{I}^2 \setminus I_0$ such that:

$$(\mathcal{A}_1) \quad \omega_F(p_i, q_i) = \{0\} \times [a_i, b_i] \text{ with } b_i - a_i = 1 - \frac{1}{2^{10}}.$$

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(B) $\omega_F(p, q) \neq \{0\} \times [\frac{1}{2^{10}}, 1]$ for each $(p, q) \in \mathbb{I}^2$.

Chapter 5. Characterizing $h(F) = 0$ on $C_{\Delta}^*(I^2)$

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- (4) every recurrent point of F is uniformly recurrent
($\text{Rec}(F) = \text{UR}(F)$);
- (5) the periods of all periodic points are a power of two
($F \leq 2^{\infty}$).

Theorem

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For instance, there exists a map F introduced by Kolyada of type 2^∞ with **positive topological entropy** and with **recurrent points which are not uniformly recurrent**.

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For instance, there exists a map F introduced by Kolyada of type 2^∞ with **positive topological entropy** and with **recurrent points which are not uniformly recurrent**.

Even in 1995, Balibrea, Esquembre and Linero for every k found an example of class \mathcal{C}^k .

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Even under strong hypothesis like all maps g_x are **non-decreasing** the equivalence is not reached.

The class $C_{\Delta}^*(\mathbb{I}^2)$

Let $C_{\Delta}^*(\mathbb{I}^2)$ be the class of triangular maps which have **basis maps** with set of **periodic points closed**.

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Let $f \in C(\mathbb{I})$. Then the following properties are equivalent:

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- 3. $Rec(f) = Per(f)$;*
- 4. $UR(f) = Per(f)$.*

Theorem 5.4.1. (with Chudziak)

Let $F \in C_{\Delta}^*(\mathbb{I}^2)$ with basis map f . Then, conditions (1) – (5) are **mutually equivalent** properties for F .

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- J. Chudziak, J.L. García Guirao, L. Snoha y V. Špitalský, *Universal discrete dynamical systems regarding ω -limit sets*, Preprint, (2006)
- A copy of the memoir can be found in <http://www.dmae.upct.es/jlguirao>

◀ Go to the beginning

Definición

Un elemento $\psi \in \mathcal{F}$ es universal con respecto a los conjuntos ω -límite generados por la clase \mathcal{F} , si para cada conjunto $A \in \mathcal{W}_{\mathcal{F}}$ existe un punto $x_A \in \mathbb{X}$ tal que $\omega_{\psi}(x_A) = A$. Es decir, si ocurre $\mathcal{W}(\psi) = \mathcal{W}_{\mathcal{F}}$.

Definición

Un elemento $\psi \in \mathcal{F}$ se llamará universal en sentido débil (d-universal) con respecto a los conjuntos ω -límite generados por la clase \mathcal{F} , si para cada conjunto $A \in \mathcal{W}_{\mathcal{F}}$ existe un homeomorfismo $H_A : \mathbb{X} \rightarrow \mathbb{X}$ y un punto x_A tal que $\omega_{\psi}(x_A) = H_A(A)$. Es decir, si ocurre que $H_A(A) \in \mathcal{W}(\psi)$.

◀ Volver