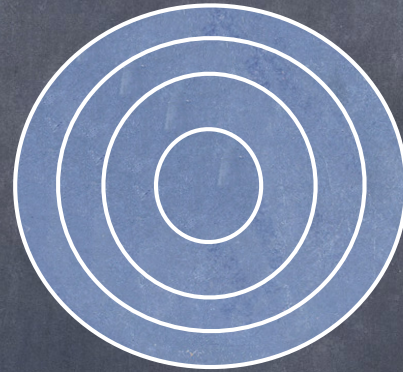


Angle - action



- Courbes fermées
- Transformation canonique particulière
- L'action = aire sous-tendue par l'orbite
- L'angle = fonction linéaire du temps

$$(q, p) \rightarrow (\psi, J)$$

$$\mathcal{K} = K(\psi, J) = K(-, J)$$

$$J = \text{cste} = \frac{1}{2\pi} \int p \, dq$$

$$\psi = \frac{dK}{dJ} t + \psi_0$$

Angle action du
pendule

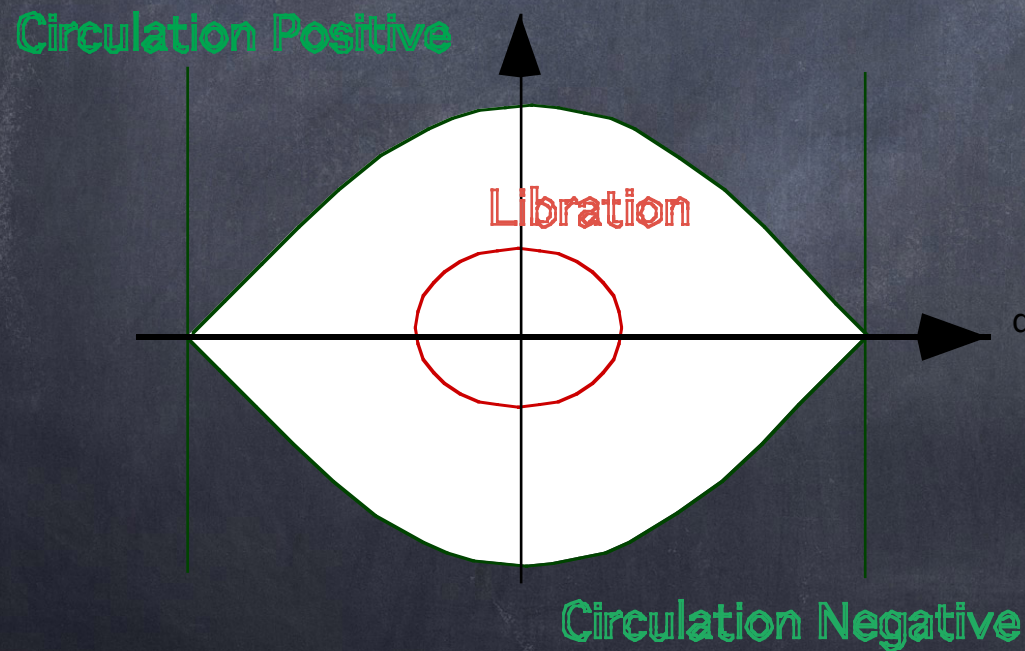
$$H = p^2/2 - \delta \cos q$$

$$J = \frac{1}{2\pi} \oint pdq$$
$$= \frac{8\sqrt{\delta}}{\pi} ((k^2 - 1) \mathcal{K}(k) + \mathcal{E}(k)) \text{ en libration}$$

avec $k = \sqrt{\frac{1}{2} + \frac{H_0}{2\delta}}$

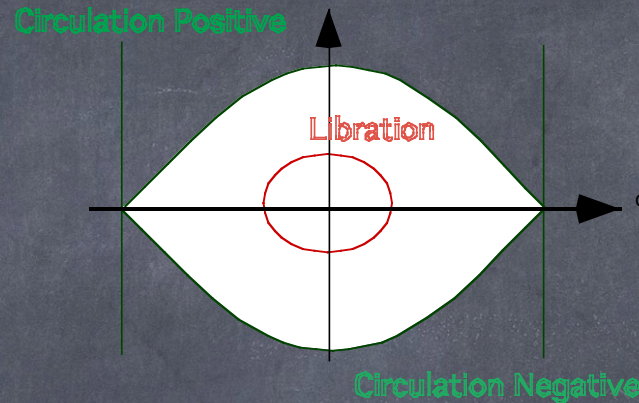
$$= \frac{2}{\pi} \sqrt{2H_0 + 2\delta} \mathcal{E}(k) \text{ en circulation}$$

avec $k = \sqrt{\frac{2\delta}{H_0 + 2\delta}}$



Autour d'un équilibre stable

Angle action de l'oscillateur harmonique



$$\begin{aligned} H_{Loc} &= H_{eq} + \frac{\partial H}{\partial q} (q - q_{eq}) + \frac{\partial H}{\partial p} (p - p_{eq}) \\ &+ \frac{1}{2} \left(\frac{\partial^2 H}{\partial q^2} (q - q_{eq})^2 + \frac{\partial^2 H}{\partial p^2} (p - p_{eq})^2 + 2 \frac{\partial^2 H}{\partial p \partial q} (q - q_{eq})(p - p_{eq}) \right) \\ &+ \dots \\ &\equiv a \Delta_q^2 + 2b \Delta_q \Delta_p + c \Delta_p^2 + \dots \end{aligned}$$

$$\xi = \Delta_q \cos \theta + \Delta_p \sin \theta$$

$$\eta = -\Delta_q \sin \theta + \Delta_p \cos \theta$$

$$H_{loc} = A \xi^2 + B \eta^2 + \dots$$

$$\xi = \alpha \sqrt{2J} \cos \psi$$

$$\eta = \frac{1}{\alpha} \sqrt{2J} \sin \psi$$

$$H_{loc} = w J + \dots$$

Fréquence à l'équilibre

$$\dot{\psi} = w = \frac{\partial H_{loc}}{\partial J}$$

Modèles de résonances

$$\mathcal{K} = \beta S^2 + \alpha S + \epsilon \cos \sigma \quad \text{Pendule}$$

$$\mathcal{K} = \beta S^2 + \alpha S + \epsilon \sqrt{2S} \cos \sigma \quad \text{SFMR : } i=1$$

$$\mathcal{K} = \beta S^2 + \alpha S + \epsilon 2S \cos 2\sigma \quad i=2$$

$$\mathcal{K} = \beta S^2 + \alpha S + \epsilon (\sqrt{2S})^3 \cos 3\sigma \quad i=3$$

$$\mathcal{K} = \beta S^2 + \alpha S + \epsilon \sqrt{2S} \cos \sigma + \eta 2S \cos 2\sigma$$

SFMRAS : $i=1$

$$\alpha = \alpha(N) = \alpha(a, e)$$

$$\beta = \beta(N) = \beta(a, e)$$

$$\epsilon = \epsilon(N) = \epsilon(a, e)$$

$$\eta = \eta(N) = \eta(a, e)$$

Suitable change of scales + phases

$$R = a S$$

$$K = -3(\delta + 1)R + R^2 - 2\sqrt{2R} \cos r$$

$$r = b\sigma + c$$

$$\tau = dt$$

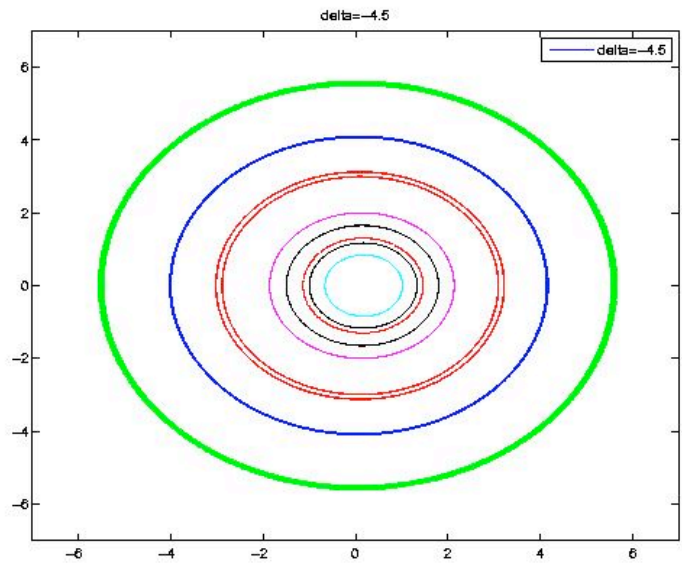
$$K = sH$$

$$x = \sqrt{2R} \cos r$$

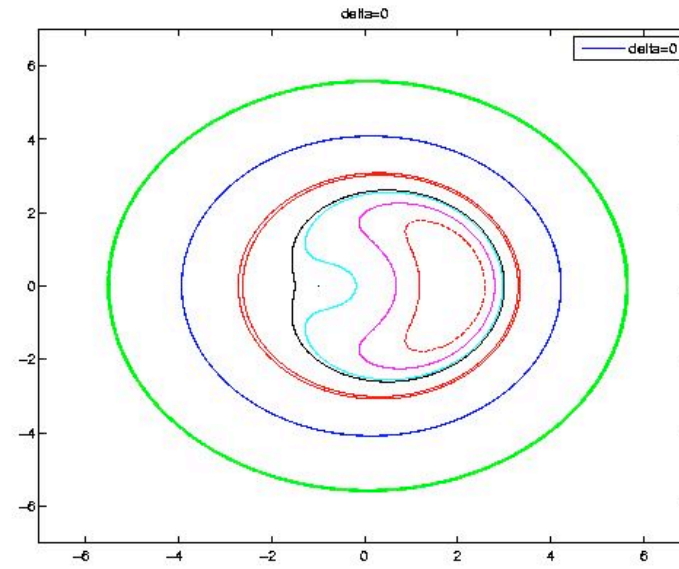
$$y = \sqrt{2R} \sin r$$

$$K = -3(\delta + 1) \frac{x^2 + y^2}{2} + \left(\frac{x^2 + y^2}{2}\right)^2 - 2x$$

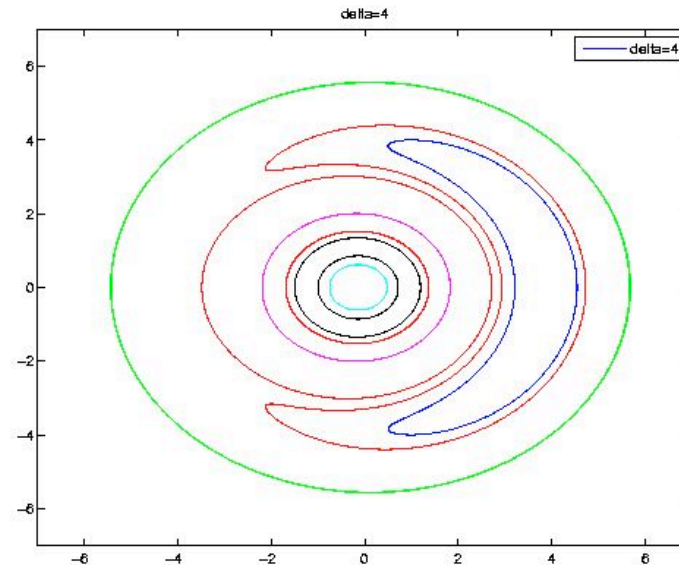
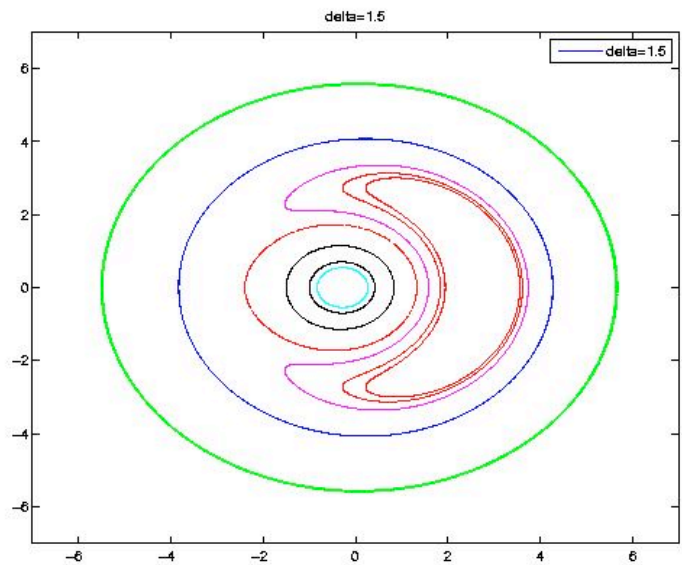
Equilibria : $y = 0$ and a cubic equation in x



Variations périodiques
de l'excentricité



Largees excursions
en excentricité



**Equilibria known as functions of
the parameter**

**The hamiltonian level of the critical
curve is also known**

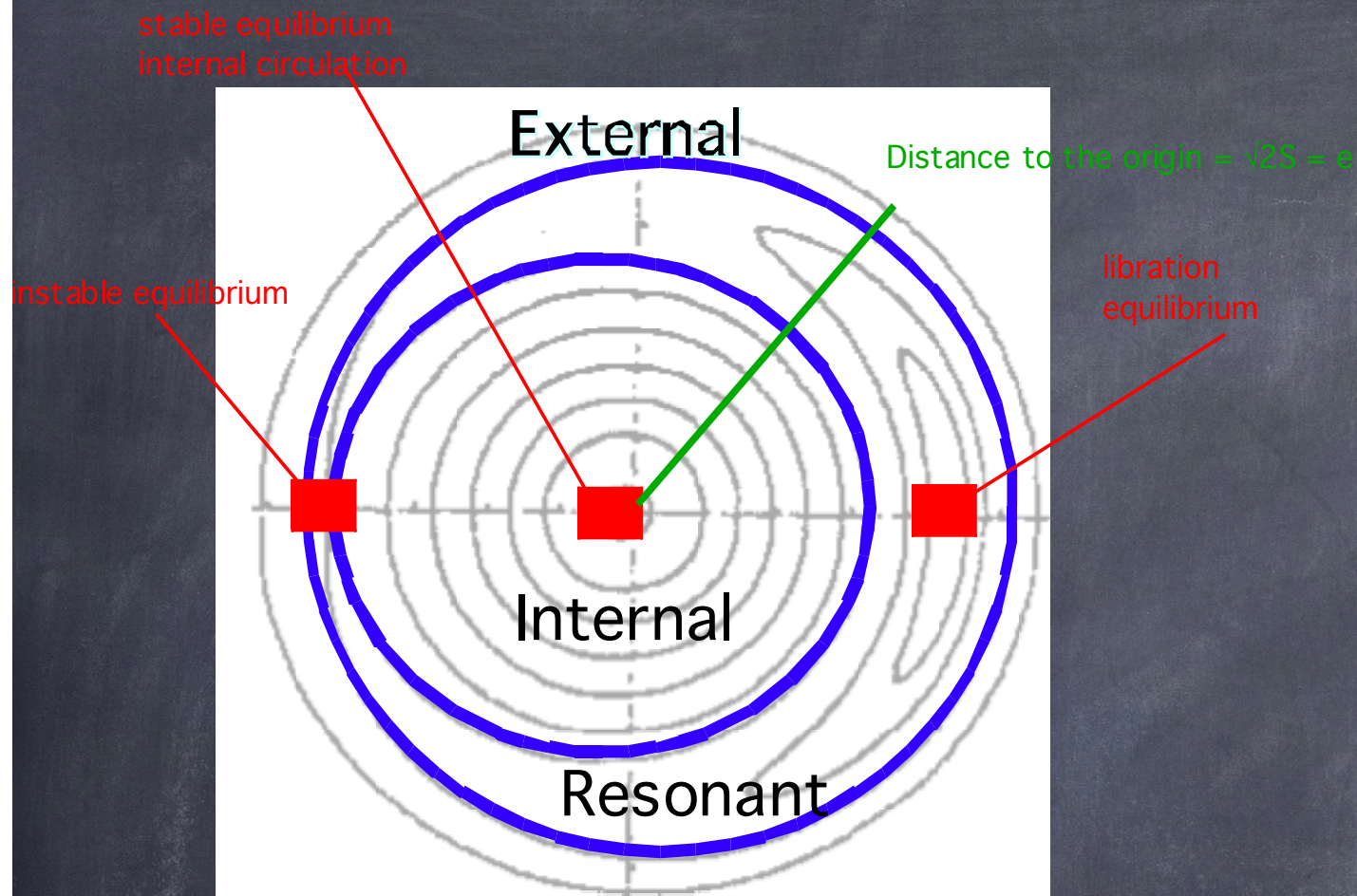
The positions of the two limits also

**The a-e V shape is based on this
calclateur**

**Area enclosed by each trajectory is implicit or
numerical**

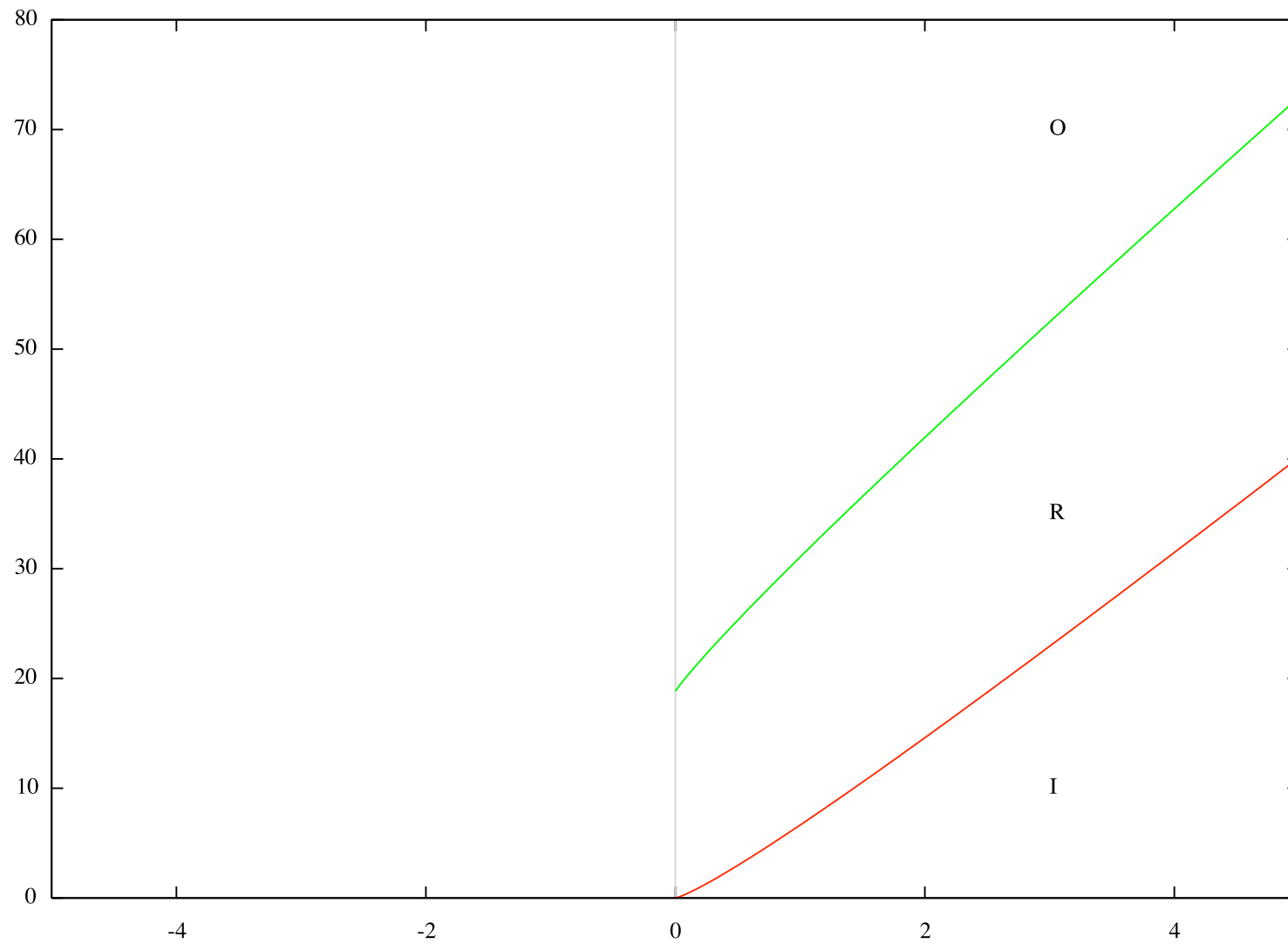
The two critical areas can be calculated

**Creation of a new reapersentation of the orbits :
parameter - area (index)**



- zone interne : $0 \leq A \leq A_1(\delta)$
- zone résonante : $0 \leq A \leq A_2(\delta) - A_1(\delta)$
- zone externe : $A \geq A_2(\delta)$

zone interne : $0 \leq \theta = A \leq A_1(\delta)$
zone résonante : $A_1(\delta) \leq \theta = A + A_1(\delta) \leq A_2(\delta)$
zone externe : $\theta = A \geq A_2(\delta)$



$$\begin{aligned}
 K &= 2R^2 - (2\delta + 1)R + R \cos 2r \\
 &= \left(\frac{x^2 + y^2}{2}\right)^2 - (2\delta + 1) \frac{x^2 + y^2}{2} + \frac{x^2 - y^2}{2}
 \end{aligned}$$

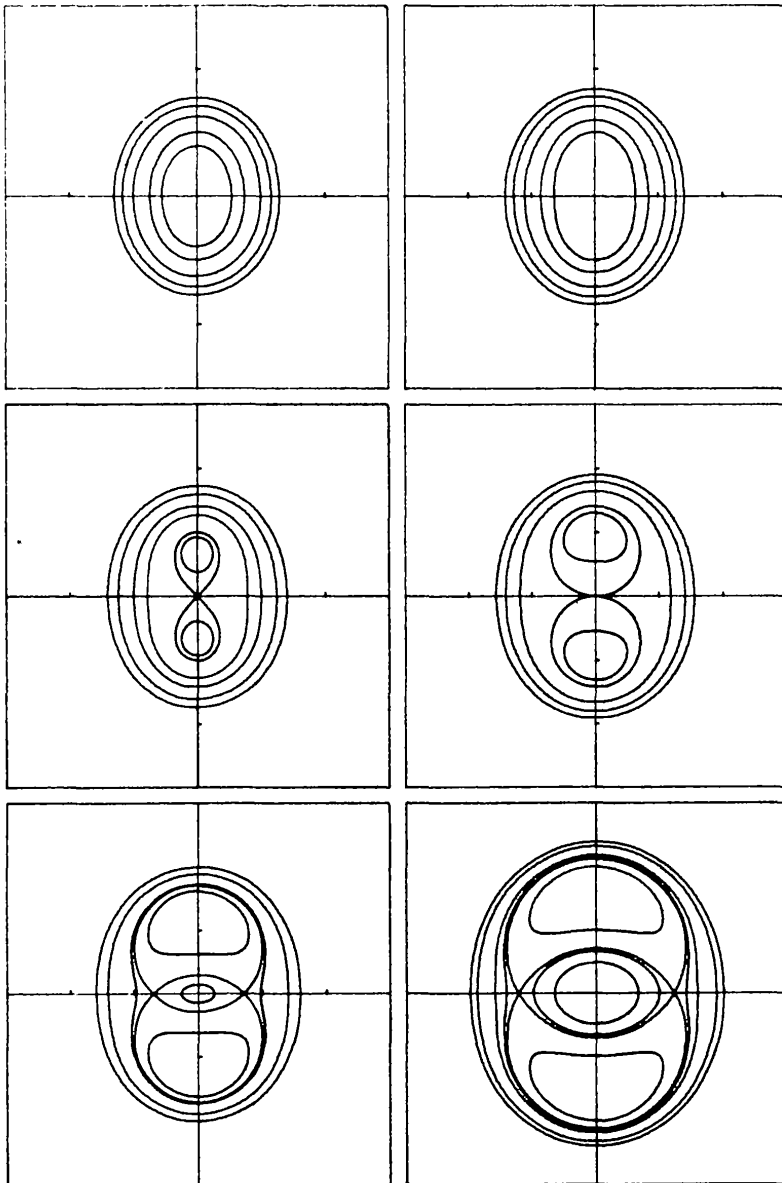


Fig. 1. The phase space for the $(j + 2)/j$ case.

Equilibria : symmetry in x and y

Resonances of order 2

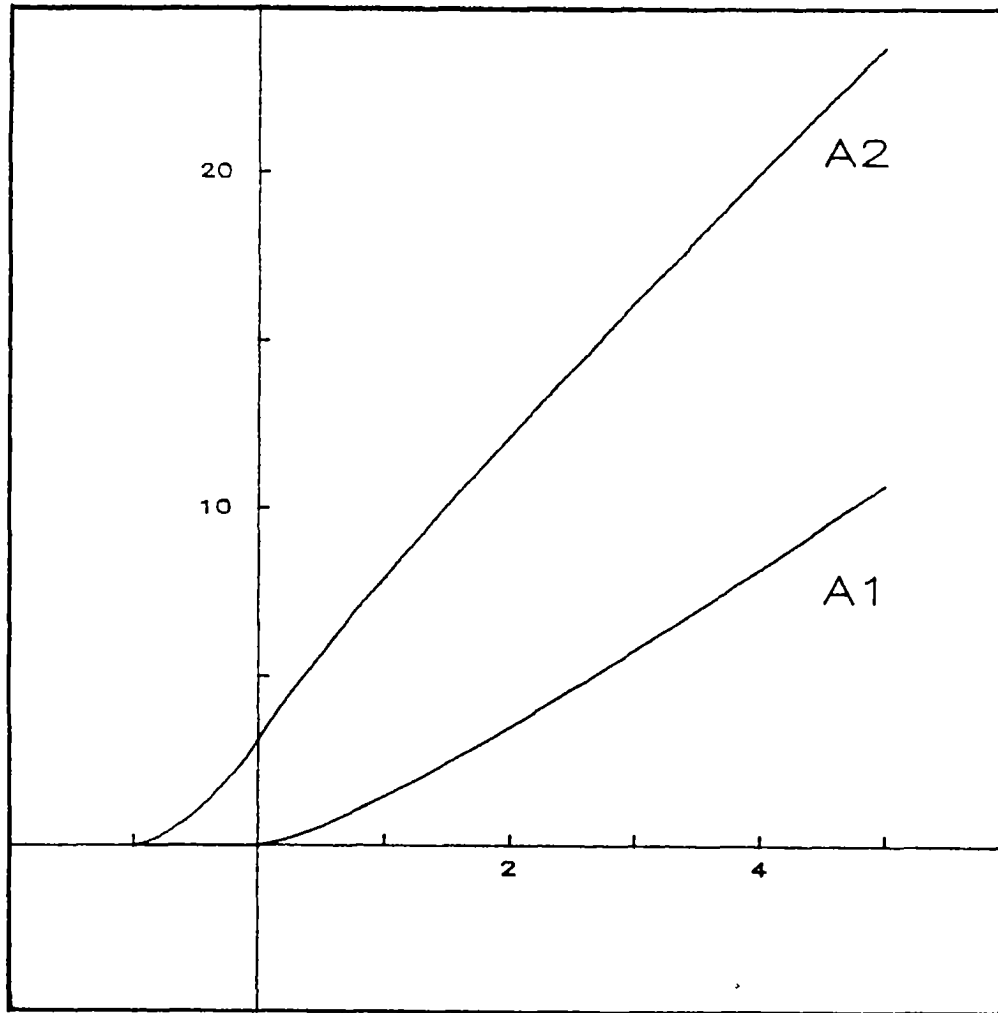


Fig. 3. The area index diagram for the $(j + 2)/j$ case.

Resonances of order 3

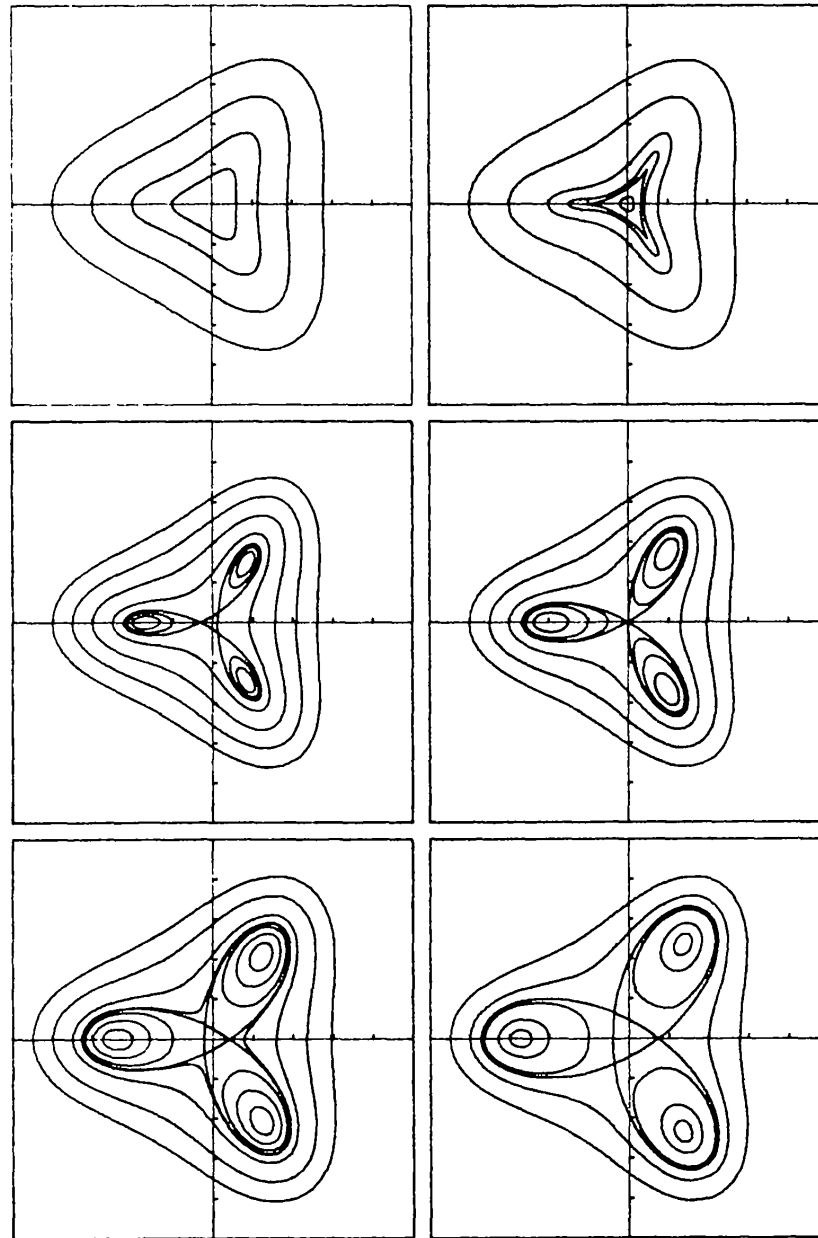


Fig. 4. The phase space for the $(j + 3)/j$ case.

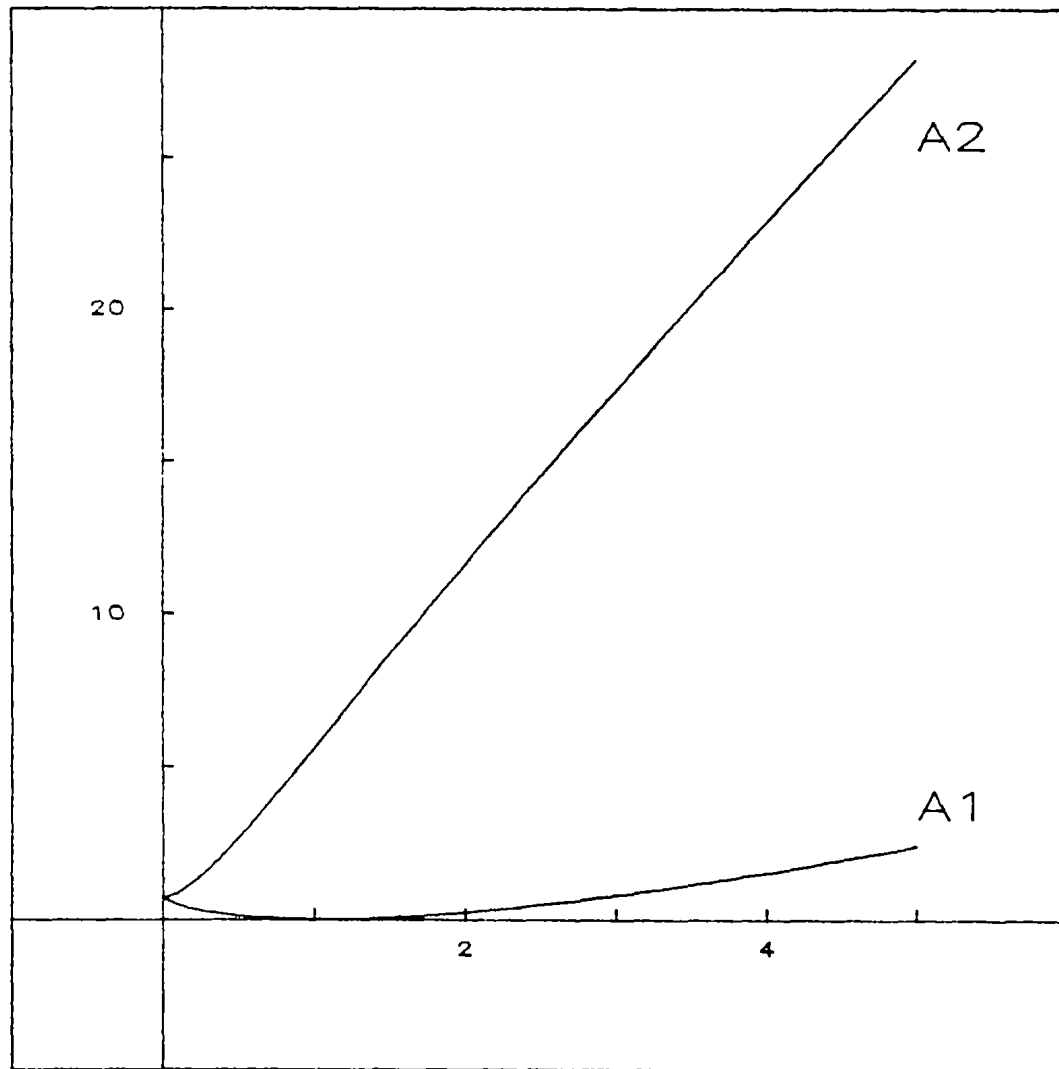
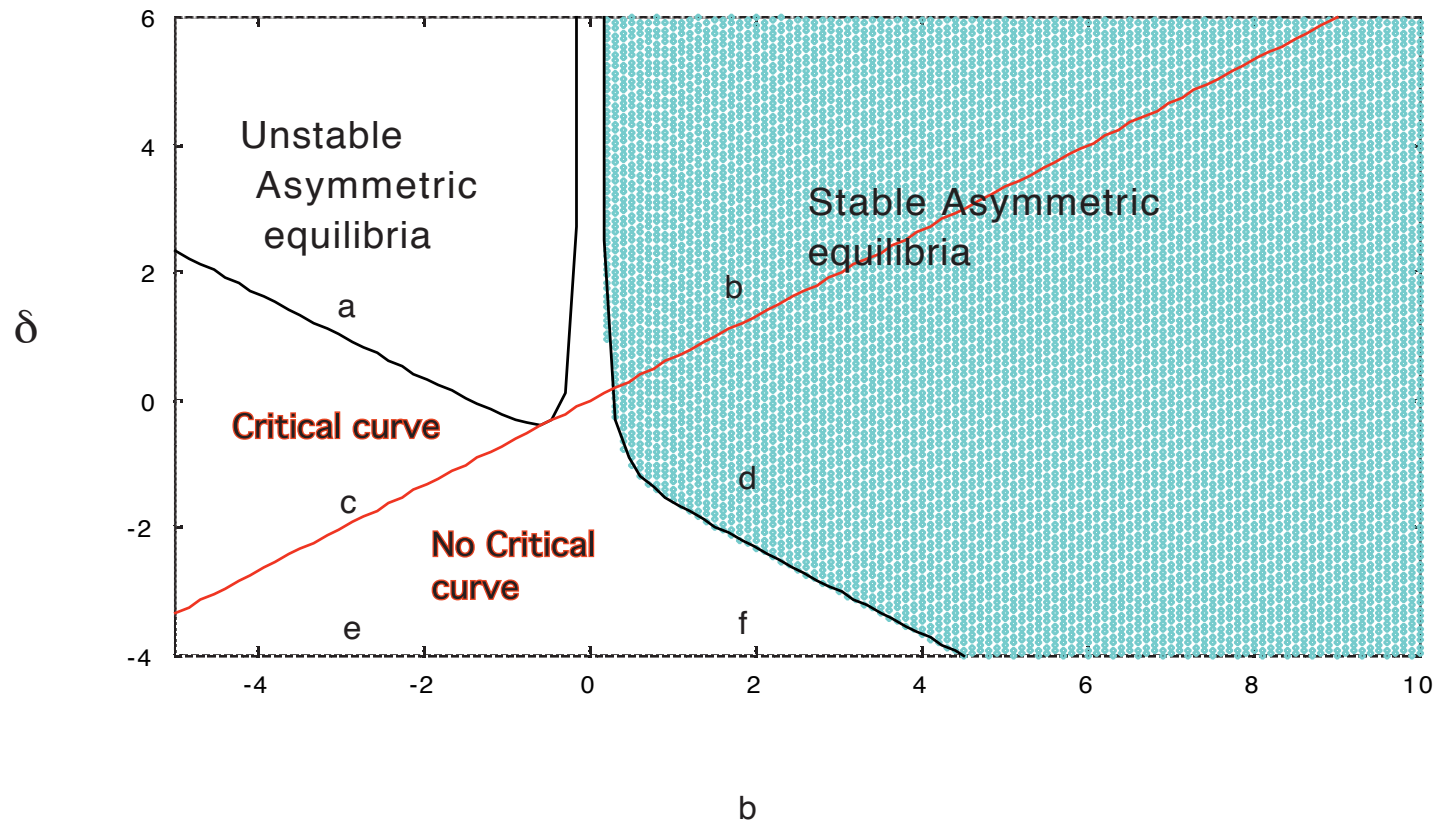
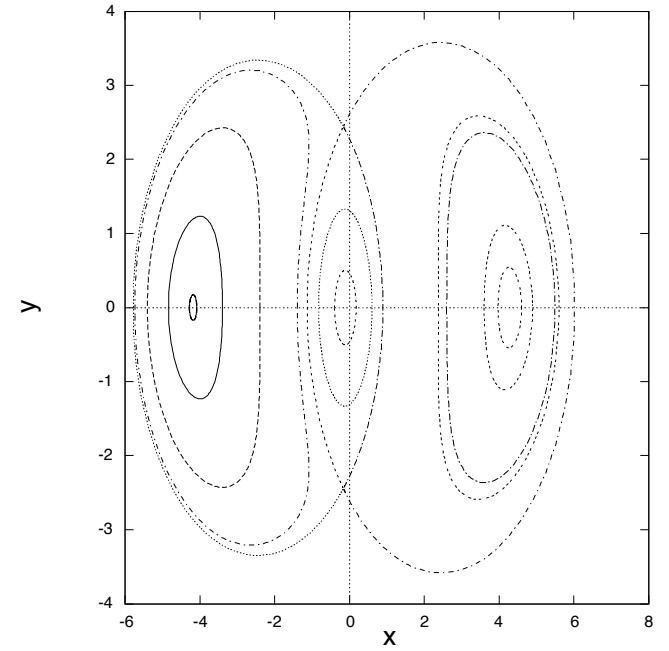
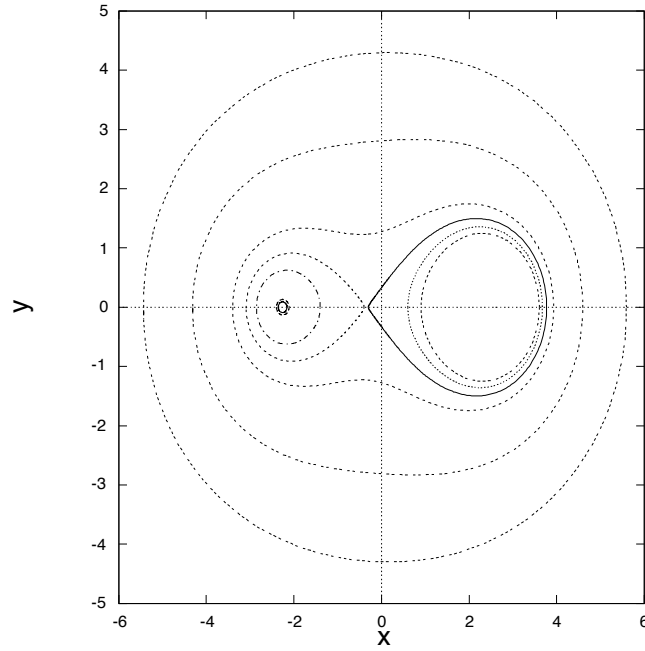
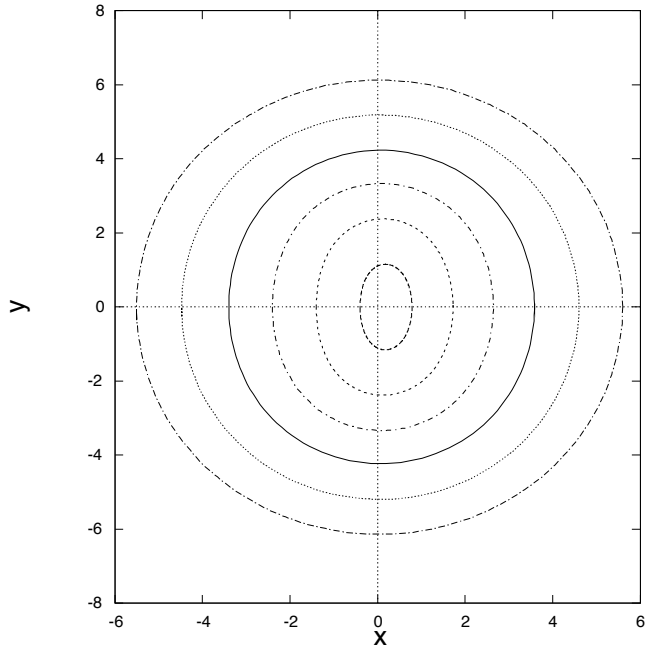


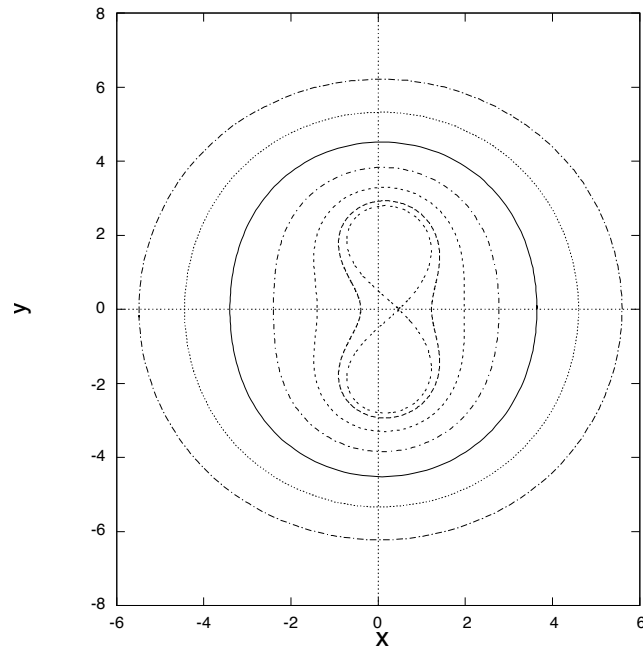
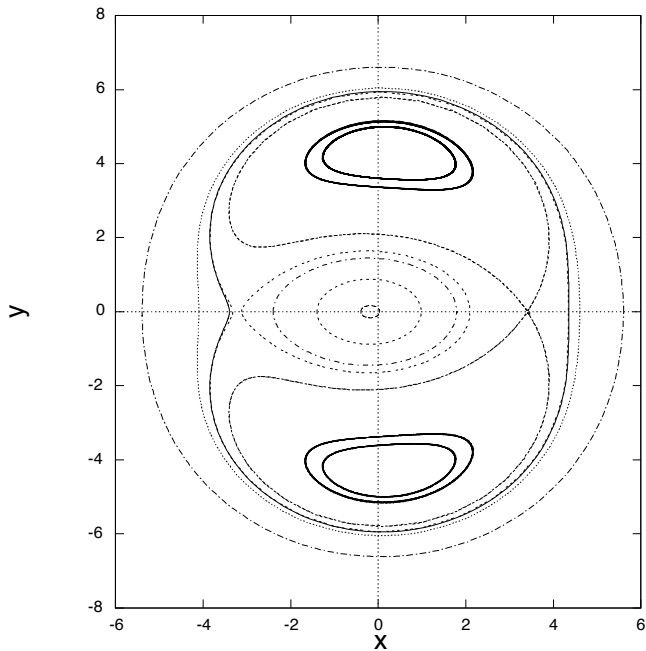
Fig. 6. The area index diagram for the $(j + 3)/j$ case.



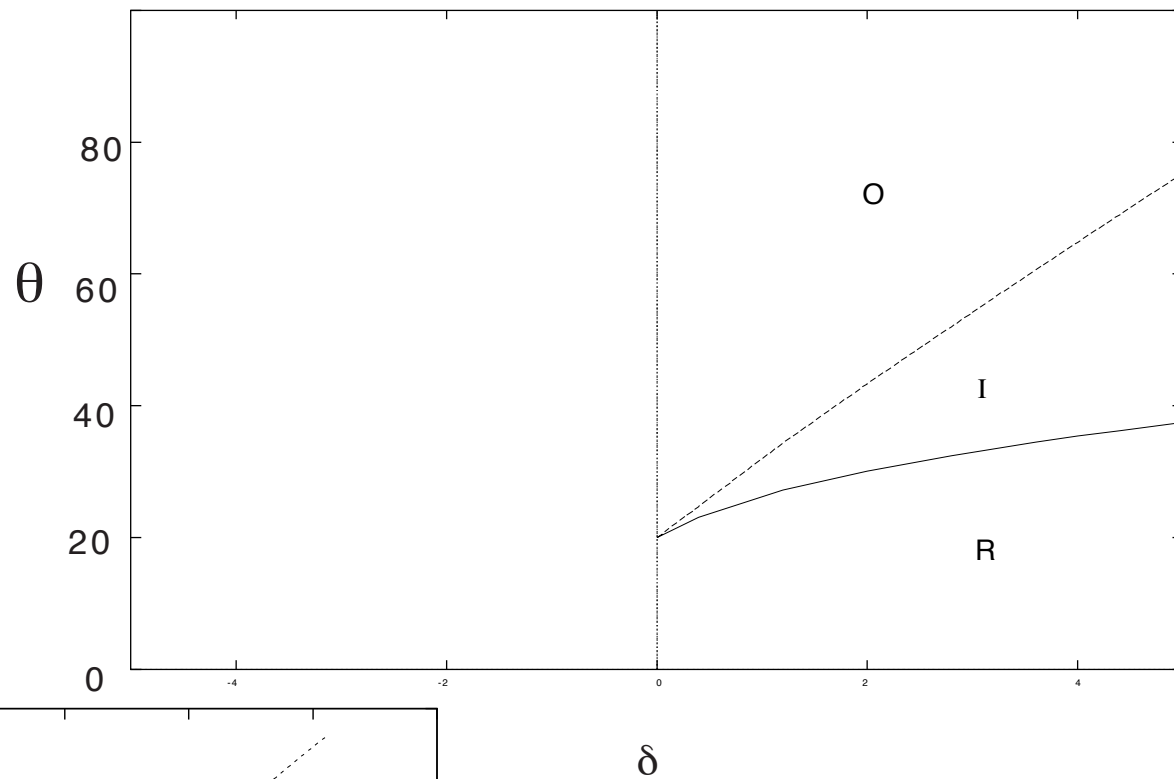
$$K = -3(\delta + 1)R + R^2 - 2\sqrt{2R}\cos r + b 2R \cos 2R$$



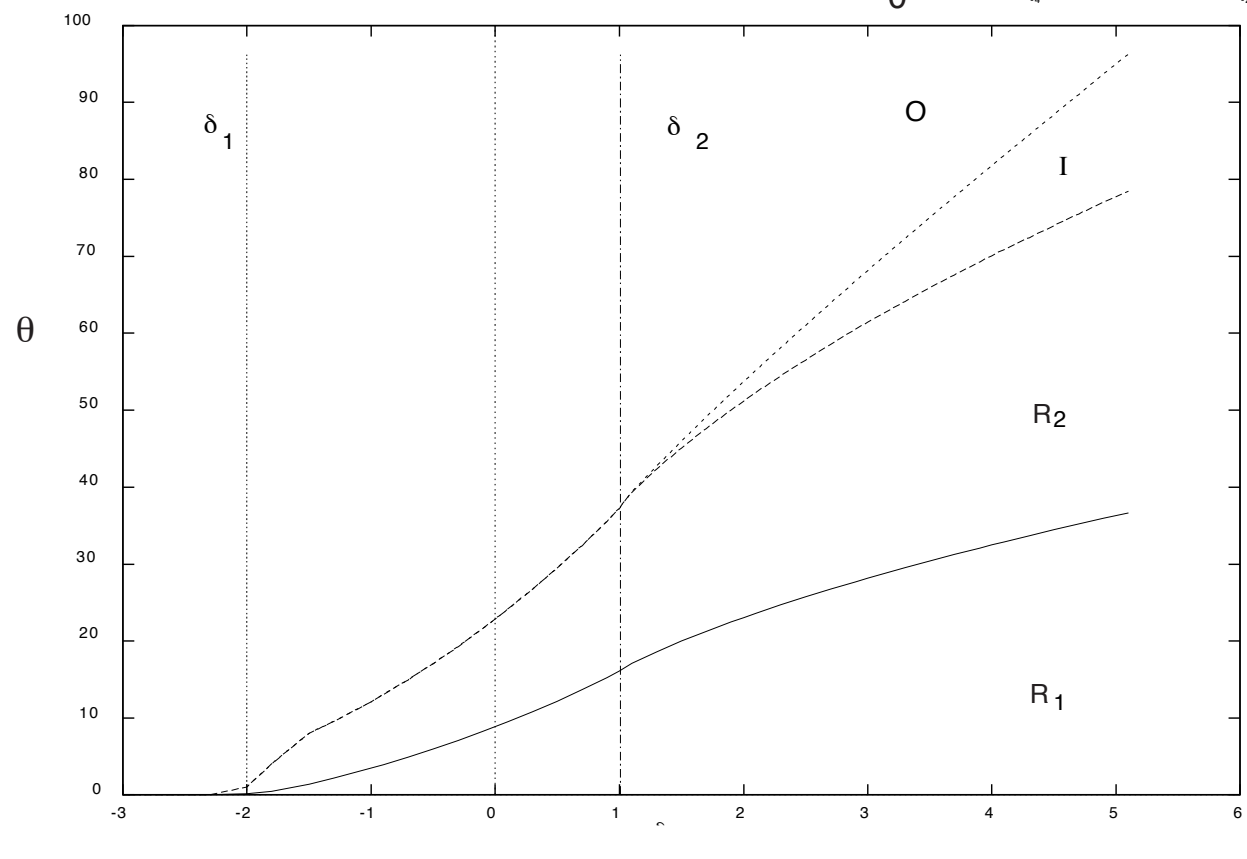
$$K = -3(\delta + 1)R + R^2 - 2\sqrt{2R} \cos 2r + b 2R \cos 2r$$



SFMRAS
External 2:1



b=0



b=-3

Systemes hamiltoniens

- Hamiltonien à 1 degré de liberté
- Equations différentielles associées
- Transformation canonique
- Conservation de la dynamique symplectique

Variables Moments

$$\mathcal{H} = H(q, p)$$

$$\begin{aligned}\frac{dq}{dt} &= \frac{\partial H}{\partial p} \\ \frac{dp}{dt} &= -\frac{\partial H}{\partial q}\end{aligned}$$

$$\begin{aligned}(q, p) &\rightarrow (Q, P) \\ \mathcal{K} &= K(P, Q) \\ &= H(q(Q, P), p(Q, P))\end{aligned}$$

$$\begin{aligned}\frac{dQ}{dt} &= \frac{\partial K}{\partial P} \\ \frac{dP}{dt} &= -\frac{\partial K}{\partial Q}\end{aligned}$$

Introduction du temps

- Hamiltonien dépendant du temps

$$\mathcal{H} = H(q, p, t)$$

- Transformation canonique dépendant du temps

$$(q, p) \rightarrow (Q, P)$$

- Fonction génératrice S

$$\mathcal{S} = S(q, P, t)$$

- Nouvel hamiltonien

$$p = \frac{\partial S}{\partial q}$$

$$Q = \frac{\partial S}{\partial P}$$

$$\mathcal{K} = K(Q, P, t)$$

$$= H(q(Q, P, t), p(Q, P, t)) + \frac{\partial S}{\partial t}$$

Adiabatic Invariant

$$\begin{aligned} H &= H_0(q, p) + H_1(q, p) \\ &= H_0(J) + H_1(J, \psi) \end{aligned}$$

Action - angle

↓ moyenne sur ψ

$$\bar{H} = \bar{H}_0(\bar{J}) + \bar{H}_1(\bar{J}) + \dots + \bar{H}_n(\bar{J}) + \bar{H}_{n+1}(\bar{J}, \bar{\psi})$$

$$\dot{\bar{J}} = -\frac{\partial \bar{H}}{\partial \bar{\psi}} \equiv 0$$

$$\dot{\bar{\psi}} = \frac{\partial \bar{H}}{\partial \bar{J}} = \omega(\bar{J})$$

order n

$$\begin{aligned} J &= \bar{J} + \mathcal{A}_1(\bar{J}, \bar{\psi}) \\ \psi &= \bar{\psi} + \mathcal{B}_1(\bar{J}, \bar{\psi}) \end{aligned}$$

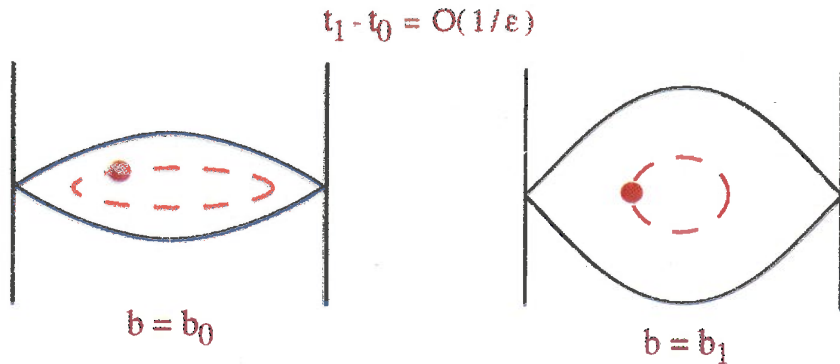
order 1

Classical Adiabatic Invariant Theory

$$H(q, p, \lambda)$$

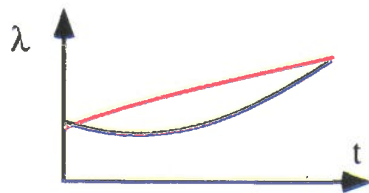
with $\lambda = \epsilon t$

$$\begin{cases} \text{pendulum for instance} \\ = \frac{1}{2} p^2 - b(\lambda) \cos q \end{cases}$$



Guiding trajectory

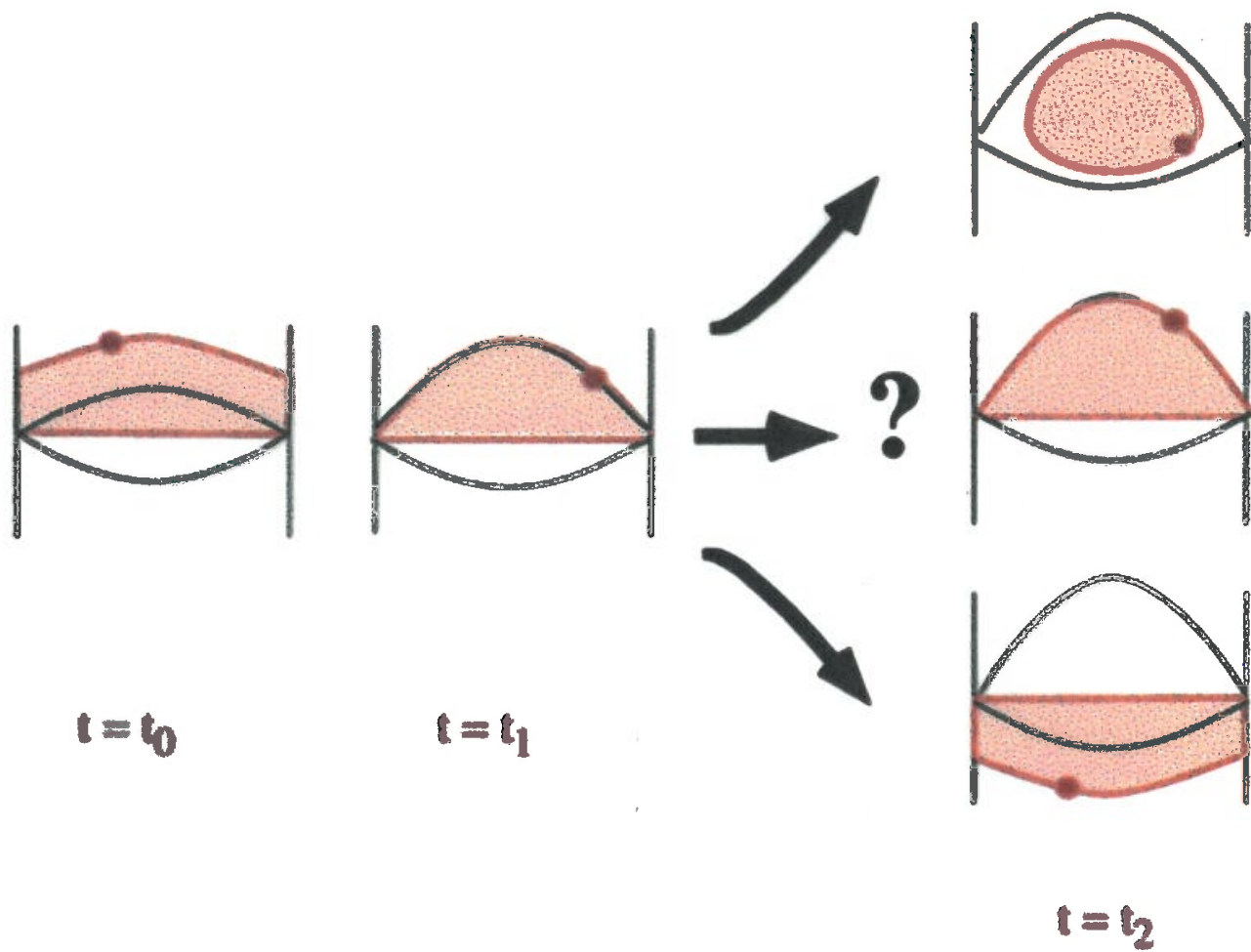
- The particle always stay close (within ϵ) of a trajectory of the "frozen system"
- The information about phase is lost.
- The path $\lambda(t)$ does not matter as long as the end points are the same and the process is "slow":



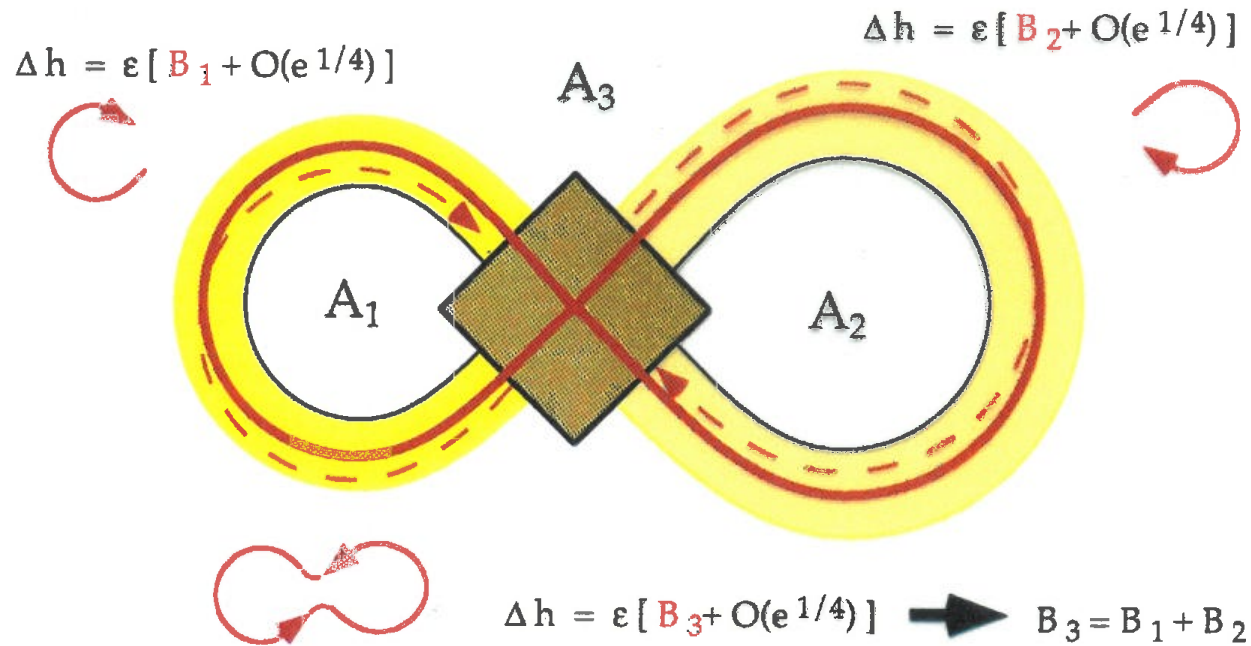
$$d^n \lambda / d \epsilon^n \sim \epsilon^n \quad \text{for } n < N$$



Separatrix crossing



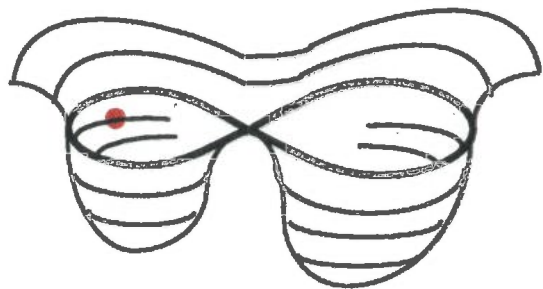
Neoadiabatic theory



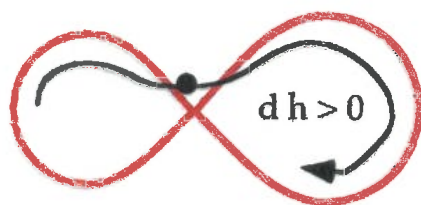
$$B_i = \text{sign}(h_i) \frac{\partial \text{area}(A_i)}{\partial \lambda}$$

Examine the various cases ...

assume $h_1 < 0, h_2 < 0, h_3 > 0$, and $B_1 > 0$



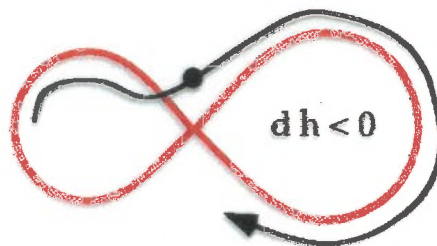
$B_1 > 0, B_2 < 0, B_3 < 0$



after • one loses energy
and go down the well

A_1 and A_3 shrink A_2 grows.

$B_1 > 0, B_2 > 0, B_3 > 0$



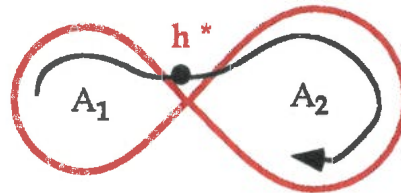
after • one gains energy
and climbs the hill

A_1 and A_2 shrink A_3 grows.

Examine the various cases . . .

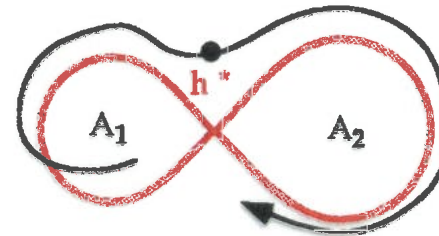
$$B_1 > 0, B_2 < 0, B_3 > 0$$

$$0 < h^* < -B_2 < B_1$$



One turn around A_2 is enough to reach again a negative energy; one stays in A_2 and continue to loose energy.

$$0 < -B_2 < h^* < B_1$$

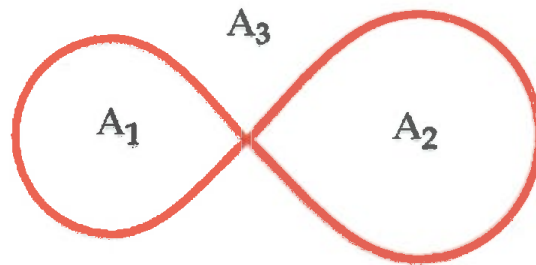


One turn around A_2 is **not** enough to reach again a negative energy; one goes around A_1 again and gains more energy.

The phase at which the separatrix is crossed is unknown; one assumee equiprobability for the value of h^* in the interval $[0, B_1]$

Both A_1 and A_2 grow; they attract orbits in proportion to their rate of growth.

Summary



$$\text{Prob} (A_i \rightarrow A_j) = - \frac{\partial \text{Area}_j / \partial t}{\partial \text{Area}_i / \partial t} + O(\epsilon \log \epsilon^{-1})$$



When Prob < 0, jump impossible;

When Prob > 1, jump certain.

$$\text{Jump in Area after leaving the uncertainty zone} = \text{Jump in critical areas} + \epsilon \log \epsilon^{-1} F(\text{phase of transition})$$

Pendulum

$$h = \frac{1}{2}(l - c)^2 + 2b \sin^2 \frac{\phi}{2} = \frac{1}{2}(l - c)^2 - b \cos \phi + b$$

where b and c are slow functions of the time.

The area enclosed by a level curve :

$$2\pi J = \oint l d\phi \text{ for librations}$$

$$2\pi J = \oint l d\phi \pm 2\pi l_0 \text{ for circulations}$$

Canonical transform : $(l, \phi) \rightsquigarrow (J, \psi)$ (Action - angle) depends on time.

In case of libration

$$\alpha = h/2b < 1$$

$$\sin \phi/2 = \sqrt{\alpha} \sin \ell$$

$$S = 4\sqrt{b} \{(\alpha - 1) \mathbf{F}(\ell, \alpha) + \mathbf{E}(\ell, \alpha)\} + c \phi$$

$$\psi = \mathbf{F}(\ell, \alpha) \pi/2 \mathbf{K}(\alpha)$$

$$J = 8\sqrt{b} \{(\alpha - 1) \mathbf{K}(\alpha) + \mathbf{E}(\alpha)\} / \pi$$

$$\frac{\partial J}{\partial h} = 2\sqrt{b} \mathbf{K}(\alpha) / \pi$$

In case of circulation

$$\beta^{-1} = h/2b > 1$$
$$\sin \phi/2 = \sin \theta$$

$$S = 4 \sqrt{b/\beta} \mathbf{E}(\theta, \beta) \operatorname{sign}(l - c) + c \phi$$
$$\psi = \mathbf{F}(\theta, \beta)(\pi/\mathbf{K}(\beta)) \operatorname{sign}(l - c)$$
$$J = \frac{4}{\pi} \sqrt{b/\beta} \mathbf{E}(\beta) + c \operatorname{sign}(l - c)$$
$$\frac{\partial J}{\partial h} = \sqrt{\beta/b} \mathbf{K}(\beta)/\pi$$

The functions $\mathbf{F}(\ell, \alpha)$, $\mathbf{E}(\ell, \alpha)$ are the usual elliptic integrals.

The remainder function of the canonical transformation going from (ϕ, I) to (ψ, J) :

Libration :

$$\epsilon R = \dot{c} \phi + \frac{2\dot{b}}{\sqrt{b}} \mathbf{Z}(\ell, \alpha)$$

Circulation :

$$\epsilon R = \frac{\dot{c}}{\mathbf{K}(\beta)} \{2 \mathbf{K}(\beta) \theta - \pi \mathbf{F}(\theta, \beta)\} + \frac{2\dot{b}}{b} \sqrt{b/\beta} \mathbf{Z}(\theta, \beta)$$

where \mathbf{Z} is the Jacobi's function.

In both cases, the mean value of the remainder function vanishes

$$\langle R \rangle = \frac{1}{2\pi} \int_0^{2\pi} R d\psi = 0$$

First order correction to the adiabatic invariant:

Libration

$$\bar{J} = J + \frac{2}{\pi} \mathbf{K}(\alpha) \left\{ \frac{2\dot{b}}{b} \mathbf{Z}(\ell, \alpha) + \frac{\dot{c}}{\sqrt{b}} \phi \right\} + O\left(\frac{\epsilon^2 \log^2(\alpha - 1)}{\alpha - 1}\right)$$

Circulation

$$\begin{aligned} \bar{J} &= J + \frac{1}{\pi} \mathbf{K}(\beta) \left\{ \frac{2\dot{b}}{b} \mathbf{Z}(\theta, \beta) + 2\sqrt{\beta/b} \dot{c} \theta \right\} - \dot{c} \sqrt{\beta/b} \mathbf{F}(\theta, \beta) \\ &+ O\left(\frac{\epsilon^2 \log^2(\beta - 1)}{\beta - 1}\right) \end{aligned}$$

$$J_1^* = \frac{4}{\pi} \sqrt{b} + c$$

$$J_2^* = \frac{4}{\pi} \sqrt{b} - c$$

$$J_3^* = \frac{8}{\pi} \sqrt{b}$$

with $h_1^* = h_2^* = h_3^* = 32 b$, $g_1 = g_2 = g_3 = 0$ and $\omega = \sqrt{b}$

Two parameters $b = b(t)$ and $c = c(t)$

$$B = \frac{2}{\pi} \frac{\dot{b}}{\sqrt{b}} \quad \text{and} \quad C = \frac{\pi}{2} \sqrt{b} \frac{\dot{c}}{\dot{b}}$$

The derivatives of the critical areas:

$$\epsilon \frac{\partial J_1^*}{\partial \lambda} = B(1 + C) \quad \epsilon \frac{\partial J_2^*}{\partial \lambda} = B(1 - C) \quad \epsilon \frac{\partial J_3^*}{\partial \lambda} = 2B$$

The probabilities of transition:

$$FPr(i, j) = - \text{sign} (h_i h_j) \frac{\partial J_j^*}{\partial \lambda} / \frac{\partial J_i^*}{\partial \lambda}$$

Possible motion from $i \rightsquigarrow j$:

Confirmed Capture	if $FPr(i, j) \geq 1$
Potential Capture with probability $FPr(i, j)$	if $0 \leq FPr(i, j) \leq 1$
Escape	if $FPr(i, j) \leq 0$

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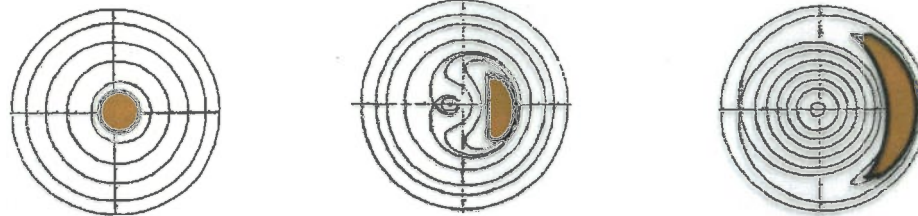
Resonance sweeping

$$\ddot{\theta} + \sin \theta = \sin [1 - \epsilon t] t$$



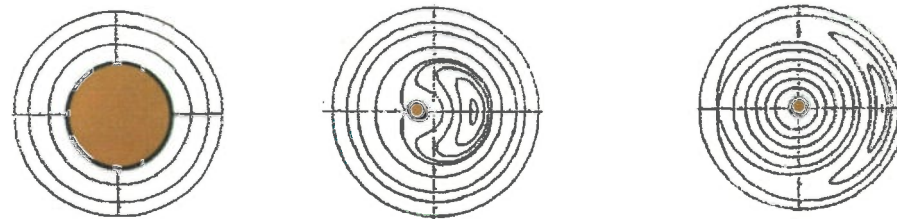
$$H = -3 \lambda(\epsilon t) R + R^2 - 2 \sqrt{2R} \cos r$$

$\epsilon > 0$



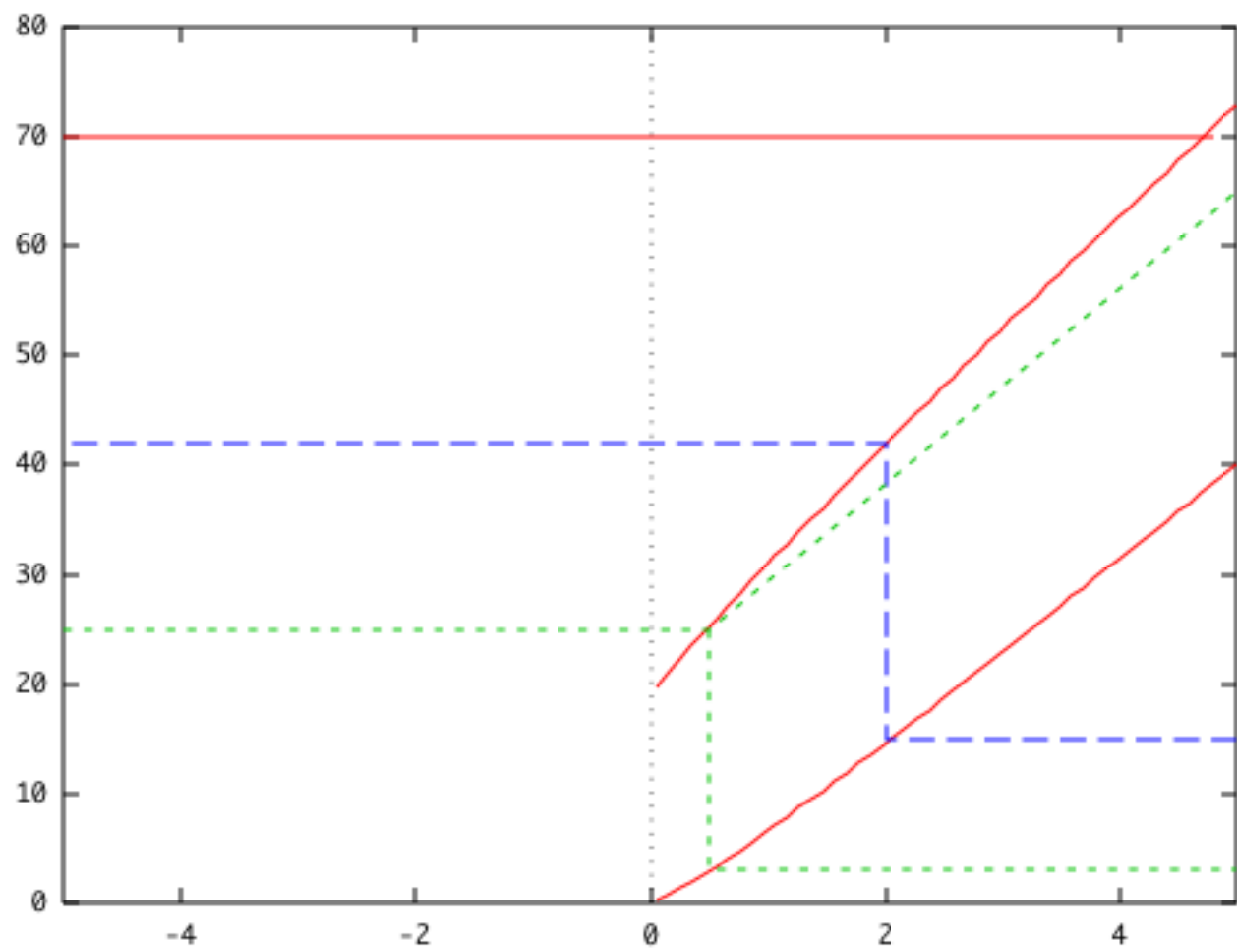
forced oscillation of increasing amplitude

$\epsilon < 0$



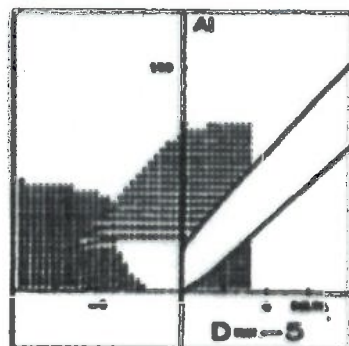
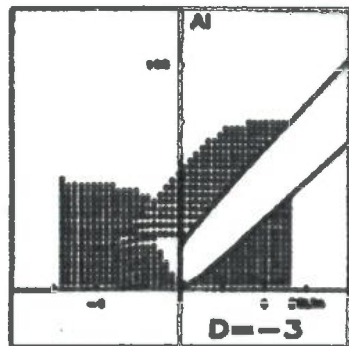
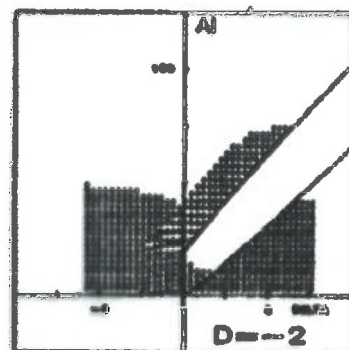
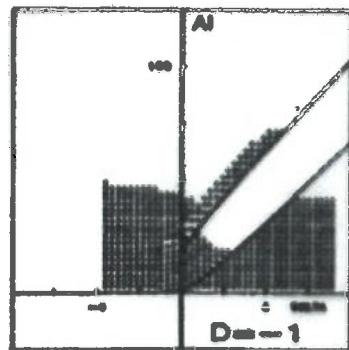
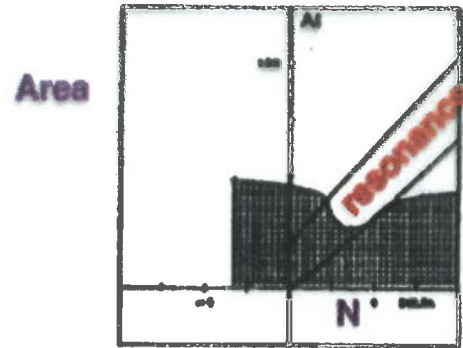
Lun. 14-bis

free oscillation of constant amplitude

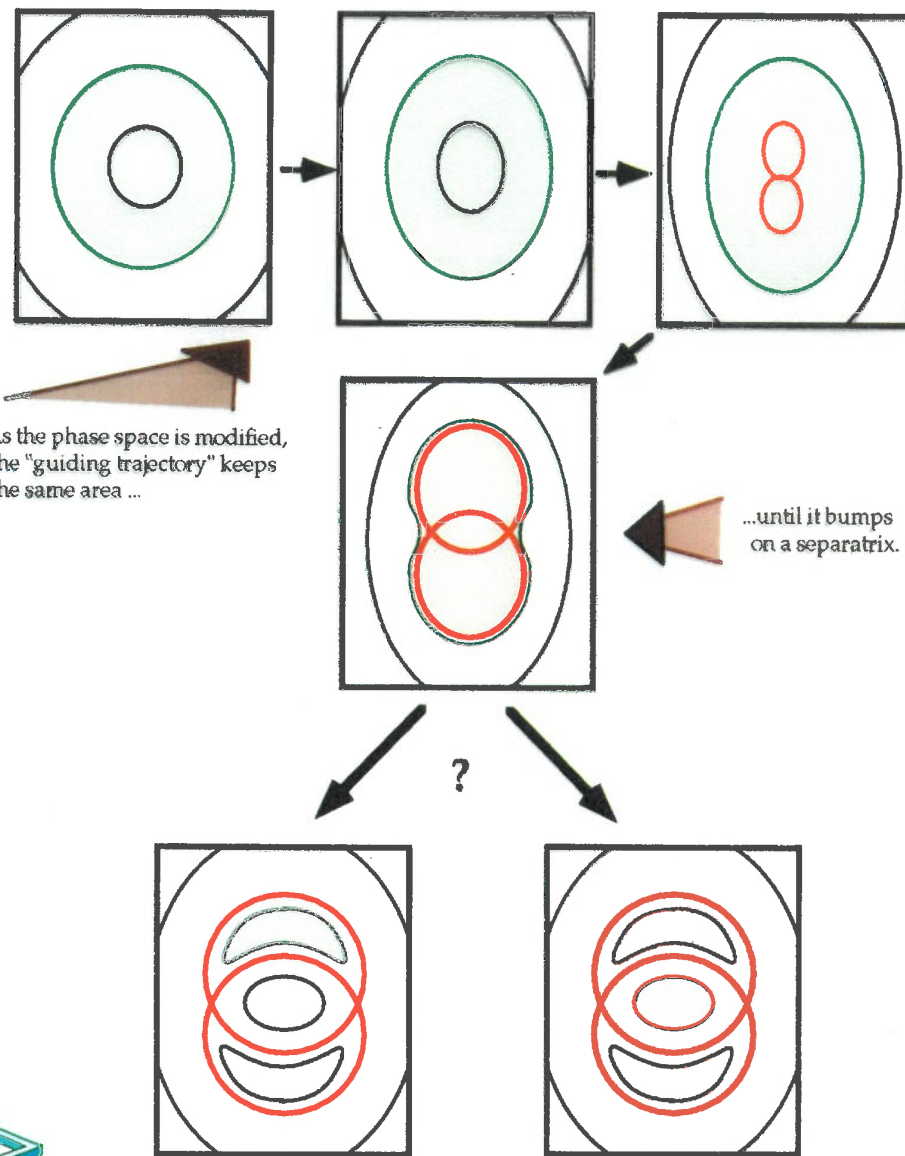


Resonance sweeping

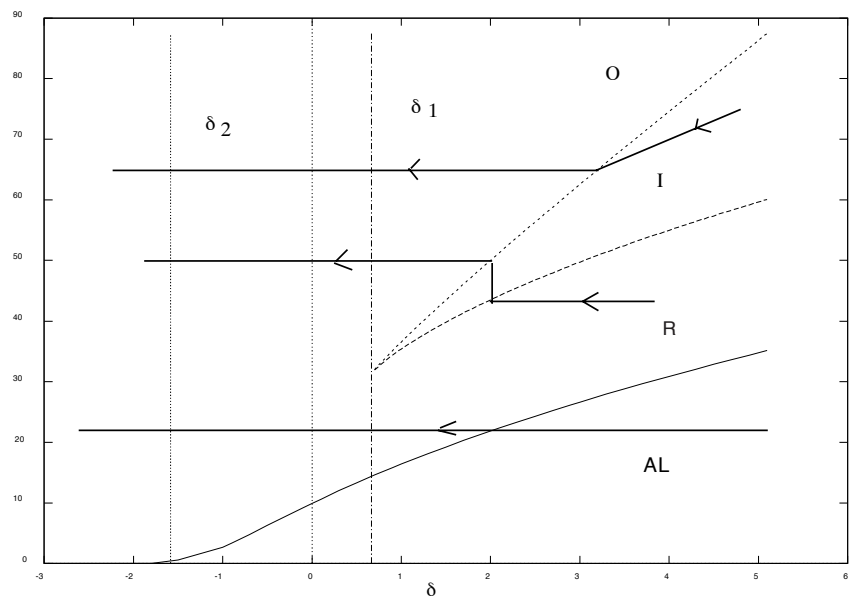
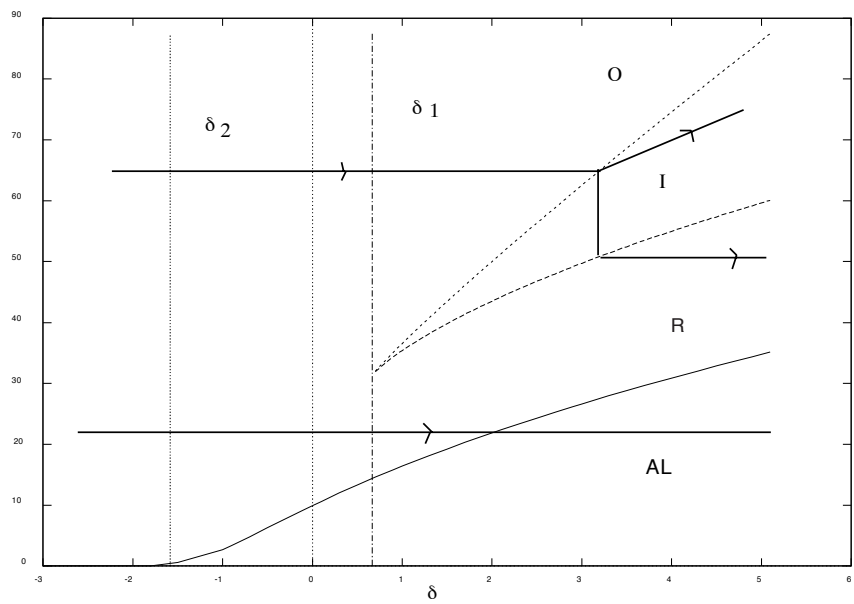
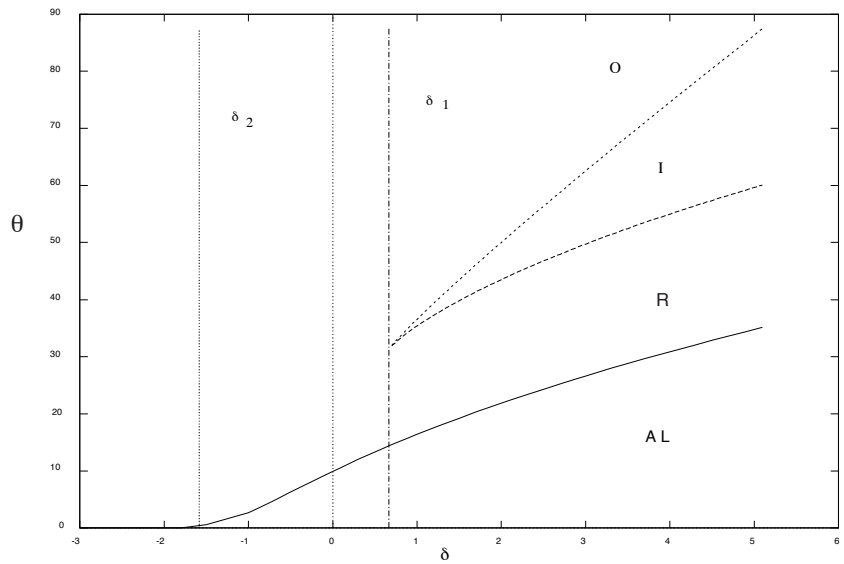
Lemaître 1984

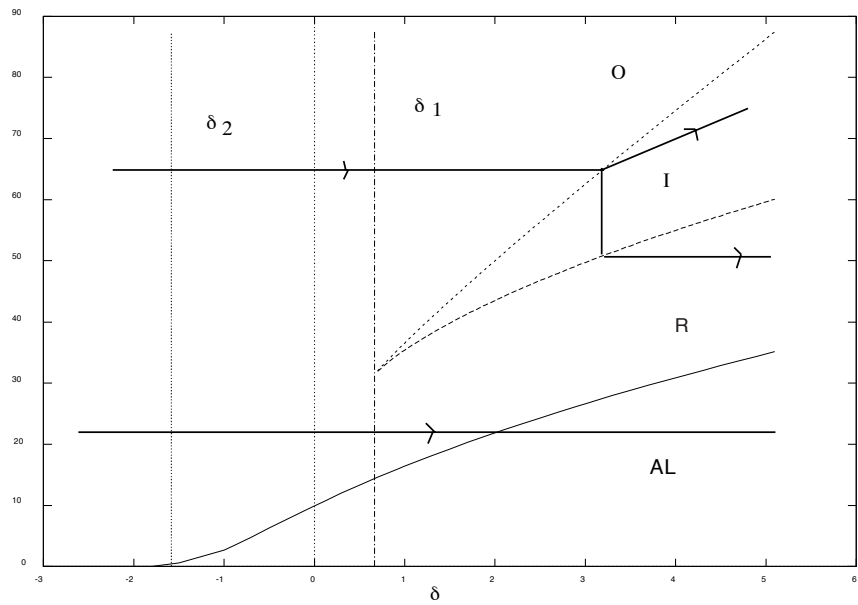
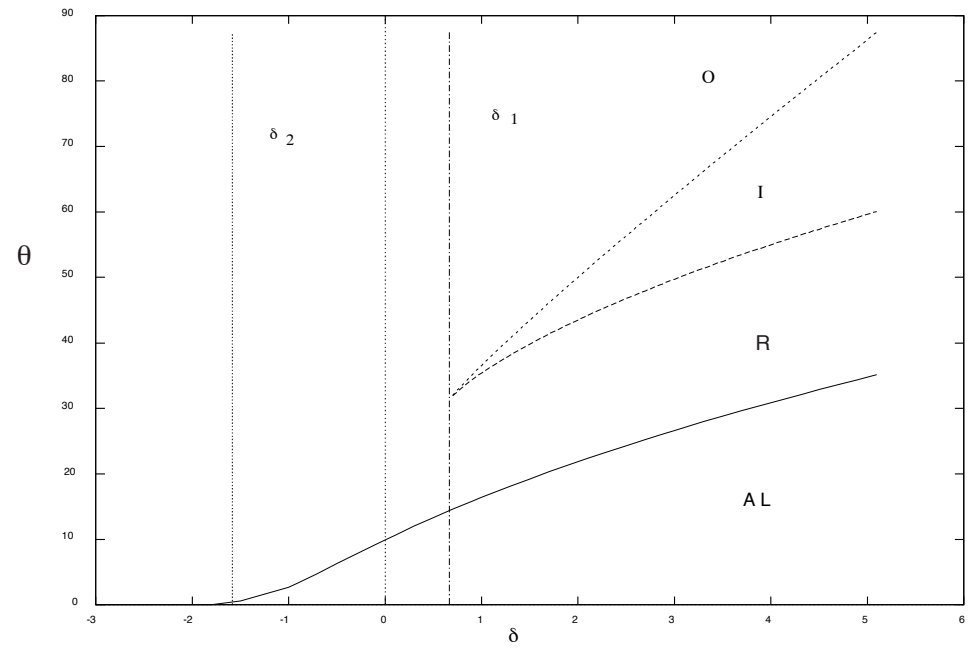
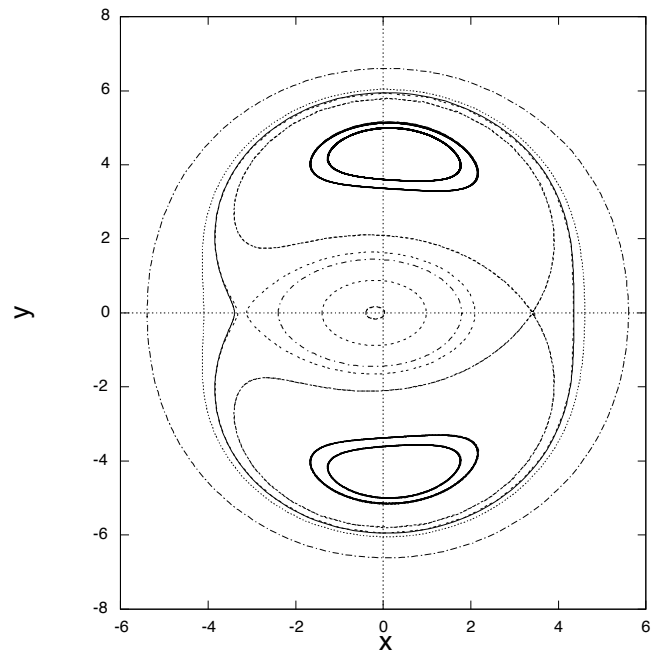


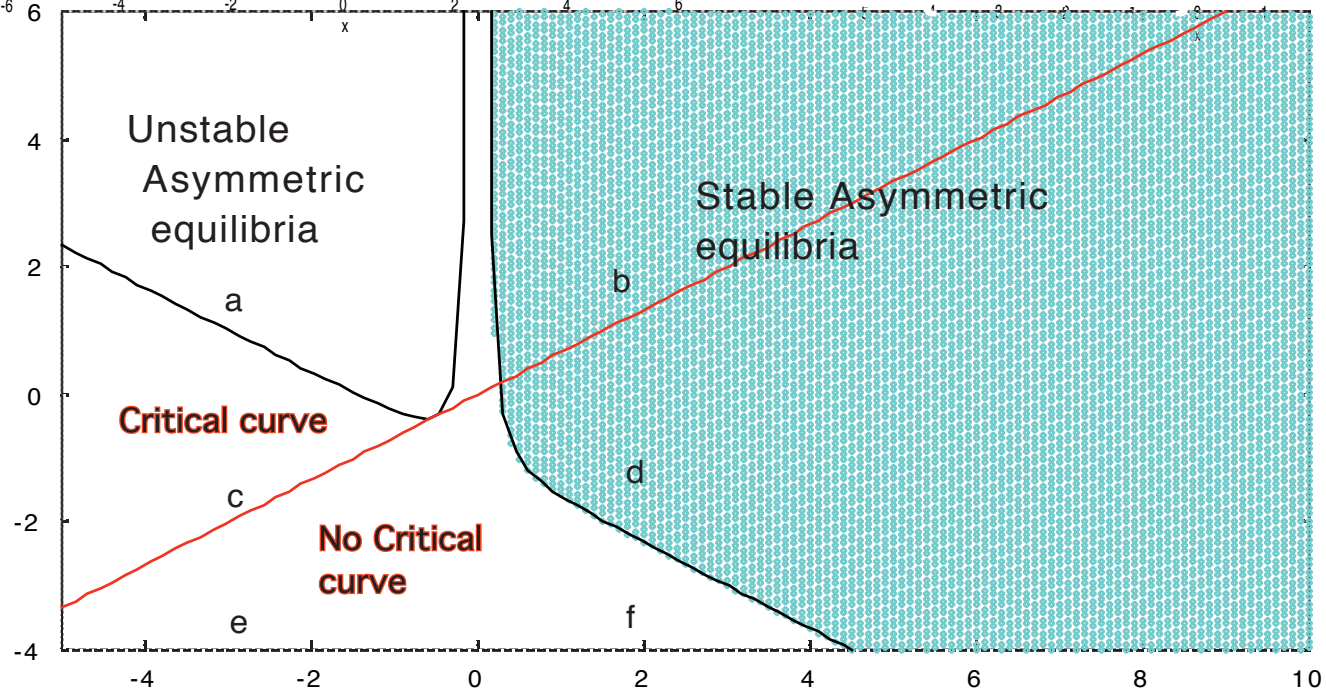
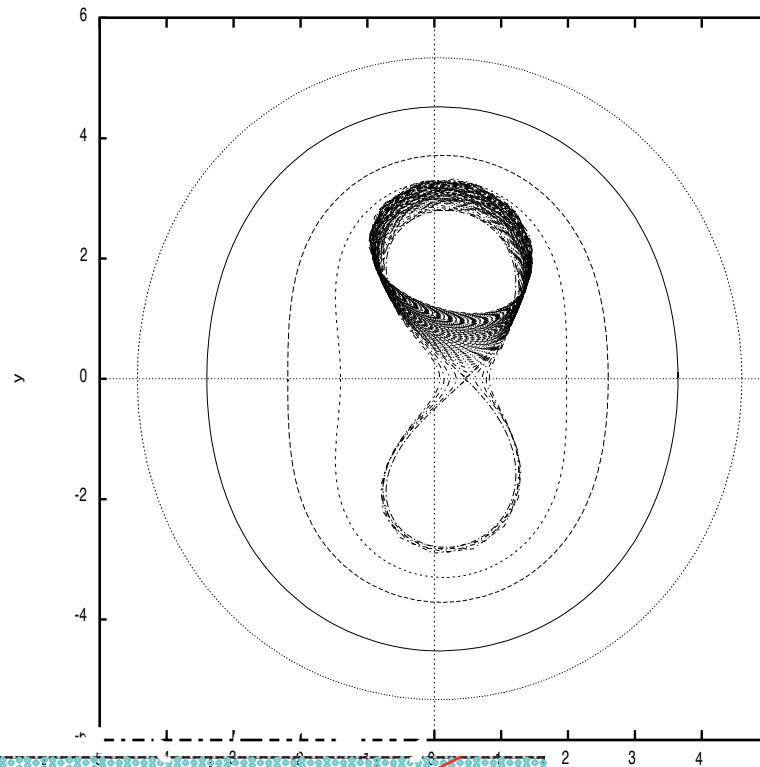
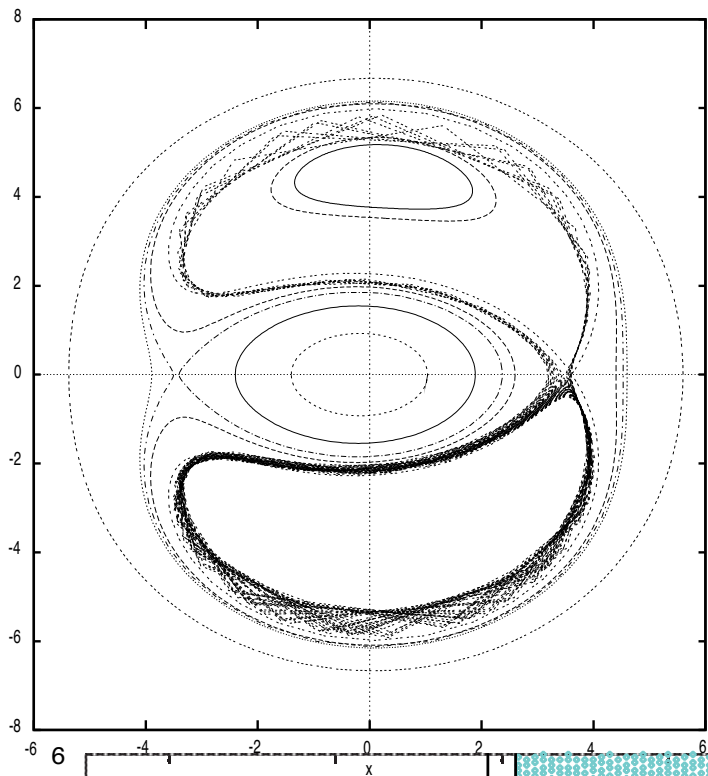
Separatrix crossing



$b=1$







Traversée et capture en résonance

Variation du demi-grand axe

Forces non conservatives :

Poynting-Robertson drag

Stockes drag

Dissipation de la nébuleuse

Effet Yarkovsky

Vide d'une
résonance

Capture en
résonance

Saut d'une
résonance

Ephemerides for the asteroids

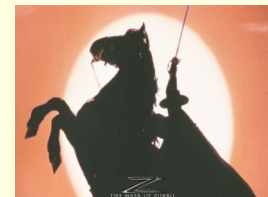


Anne Lemaitre
University of Namur
Belgium



Long term evolution

- 2-body problem - 3-body problem
- N-body problem
- Resonances : mean motion, secular
- Gravity + collisions
- Families - proper elements
- Chaos and stable chaos
- Secular non gravitational drags
- Yarkovsky effect
- YORP Rotation and Spin
- Hundreds of millions of years



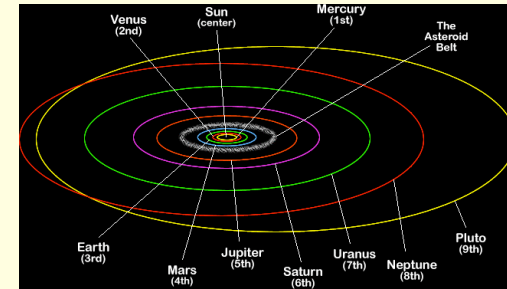
Population

- Minor Planet Center : catalogues
- Non numbered asteroids
- Systematic Surveys : magnitude 22
- 2010 : 98 % of asteroids of 1 km
- Masses, spin velocities, densities
- Albedos, thermal conductivity, surface temperatures
- Irregular shapes - binaries
- Planetary ephemerides [Standish &Fienga, 2002](#)

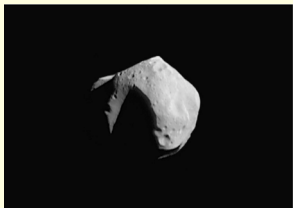
Short timescales

- Non gravitational effects
 - Direct radiation pressure
 - Thermal forces
 - Yarkovsky effect
- 2 sources :
 - Bottke et al, 2006 : Yarkovsky and YORP effects: implications for the asteroids
 - Milani & Gronchi 2008 (ch 14) : non gravitational forces
- Comparisons

Classical Models



- Gravitational forces : Sun + planets
- Main belt : 10^6 objects with $D > 1$ km
- Collisions (5 km/s)

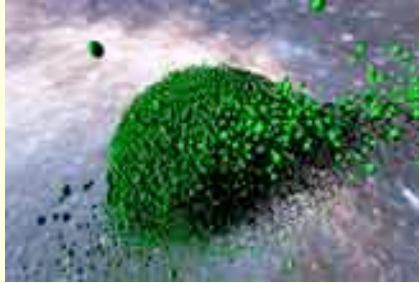


History of the asteroid population over several billion years



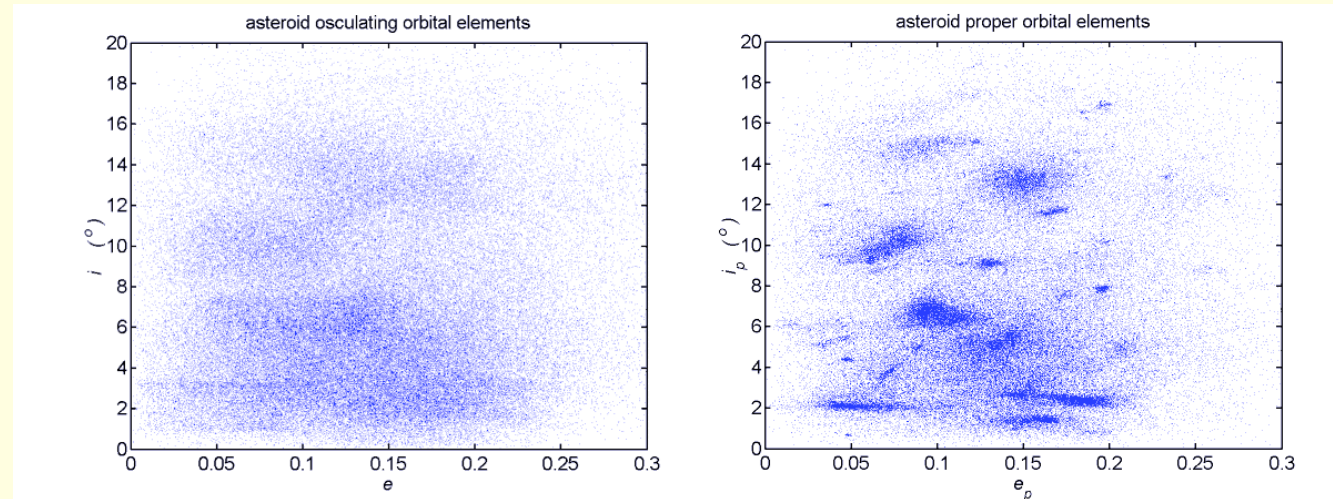
orbits - collisions - craters and fragments

- Largest impacts : origin of asteroid families (dynamical and spectral)
- Invariant of motions : representations in PROPER elements
- Ejecta in several directions and velocities, injected in resonances

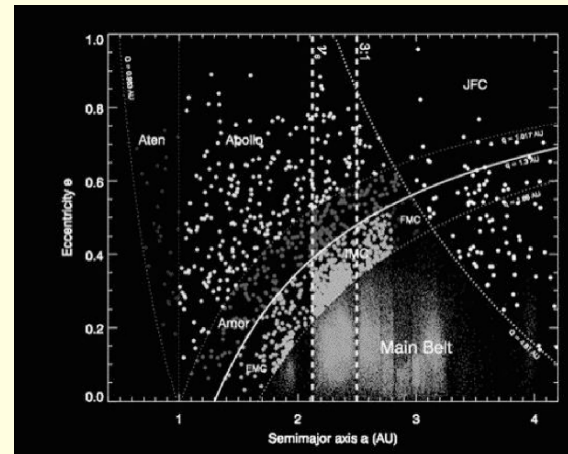


Collisions and Fragmentation

Michel & Tanga 2001

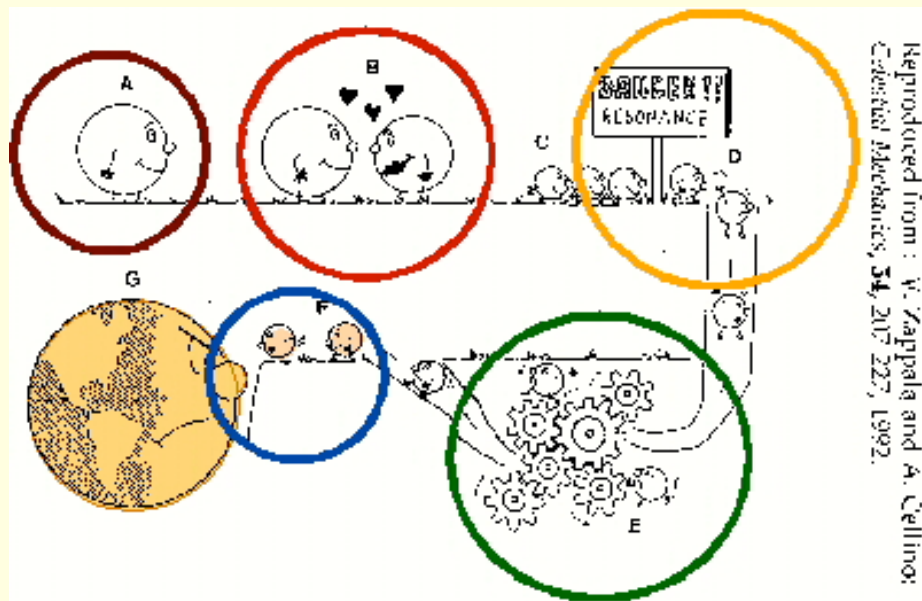


Proof : Asteroid large families
proper elements phase space



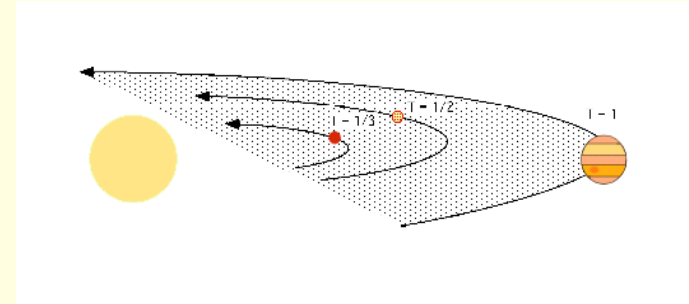
Presence of
resonances

Asteroid life (Zappala)

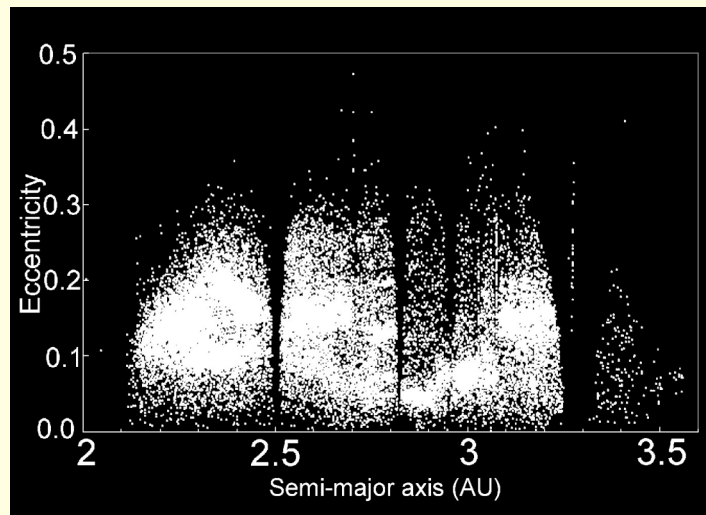


- Clues to the formation of the Solar System
- "Natural experiments" on the physics of such objects (collision - differentiation - outgasing ...)
- Studies of resonance phenomena
- "Natural experiments" on long term dynamical evolution
- Source of Near Earth Objects

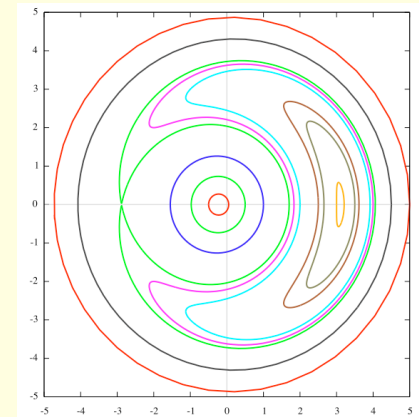
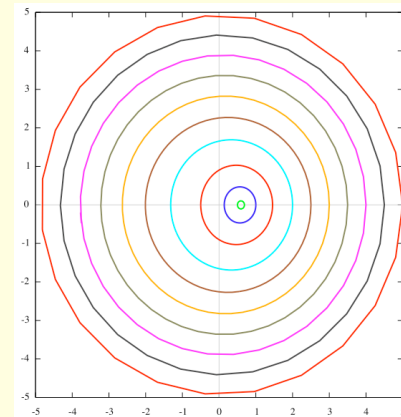
Resonances



- Mean motion resonances :
commensurability between the mean motions of an
asteroid and a planet ($2/1$, $3/1$, $5/2$, $7/3$)



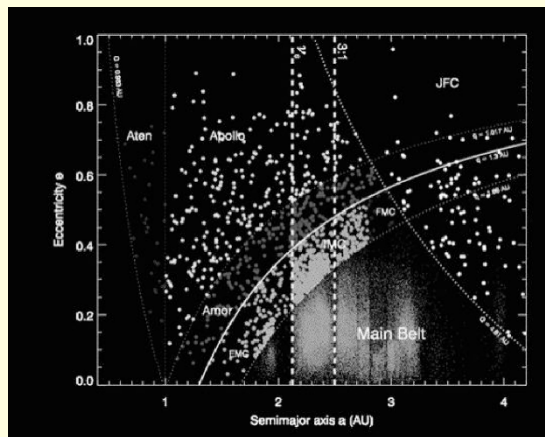
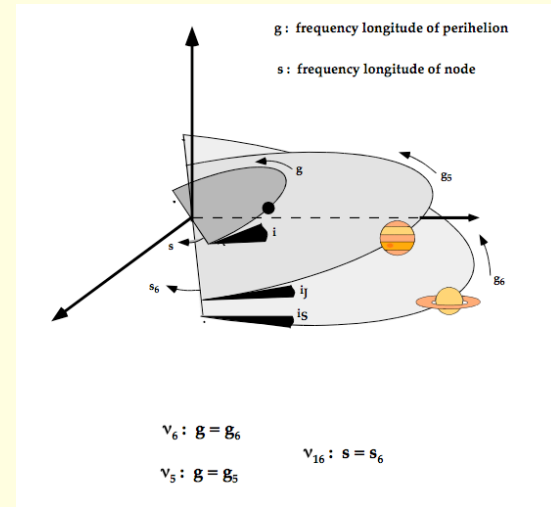
- Semi-major axis blocked
- Large excursions in eccentricity
- Superposition of several degrees of freedom



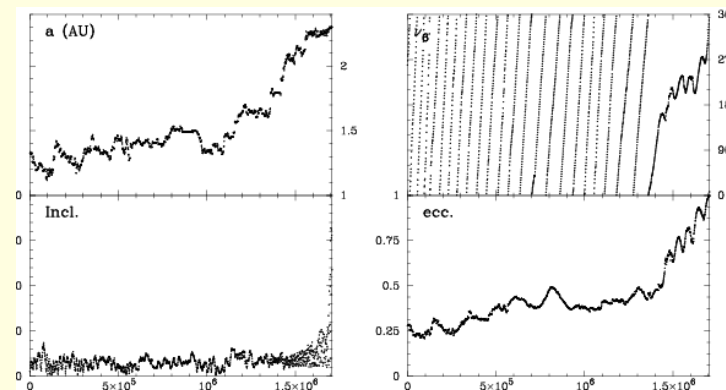
Main belt - collisions - resonances - pumping of the eccentricity - Mars or Earth crossers

Resonances

- Secular resonances :
commensurability between the motions
of the pericenter or the node of
an asteroid and this of a planet (ν_5, ν_6, ν_{16})



Large excursions in eccentricity and
in inclination



Main belt - collisions - secular resonances - Mars or Earth crossers

Itokawa
Yoshikawa & Michel 2006

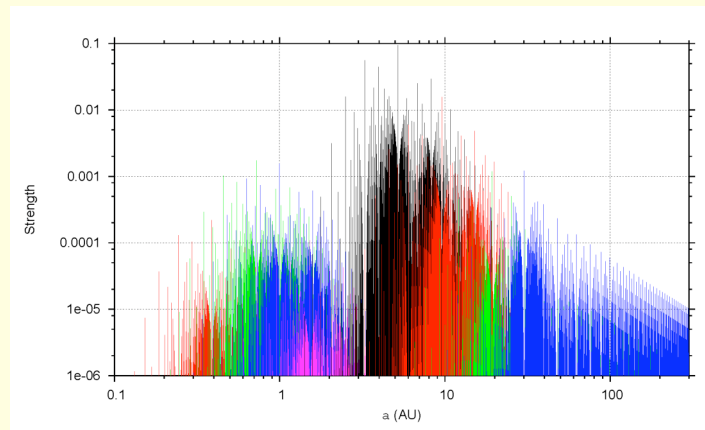
2 and 3- body resonances : chaos

$$k_1 w_1 + k_2 w_2 = 0$$

$$k_1 w_1 + k_2 w_2 + k_3 w_3 = 0$$

Mean motion : 10 years

Secular : 10^4 years



Chaos : Maximum Lyapounov exponent >0

High order resonances 12:7

Chaotic orbit (Helga 522)

Milani, 1993

Confined (stable) chaos

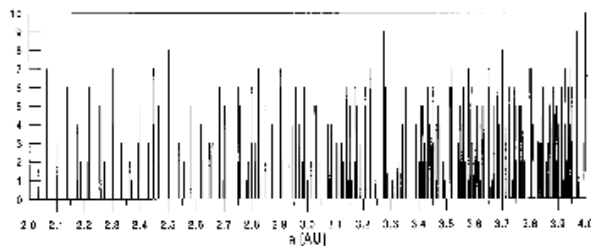


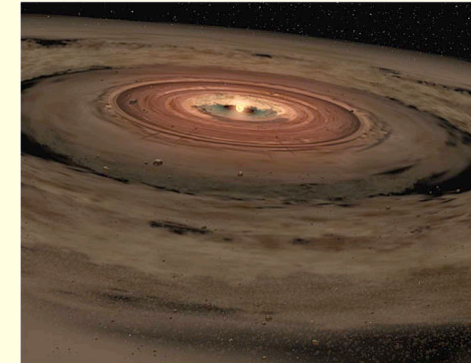
FIG. 3.—Location of the ordinary mean motion resonances (heavy lines) and the three-body resonances (light lines). The height of each bar is related to the resonance order, as explained in the text, so that the vertical scale gives a comparative indication of the strength of the resonances.

Nesvorny - Morbidelli 1998

Problems with classical Models

- Observations of asteroids : sizes, ages, velocities, spin rates [Bottke et al 2006](#)
- Catastrophic events are too inefficient

➔ Non gravitational forces



- Stokes drag (gas)

- small objects moving through a fluid
- viscous resistance to the fluid
- proportional to the relative velocity

$$\vec{F}_{Stokes} = \frac{1}{2} \rho_{gas} V^2 A C_d \vec{V}_r$$

- Poynting - Robertson drag

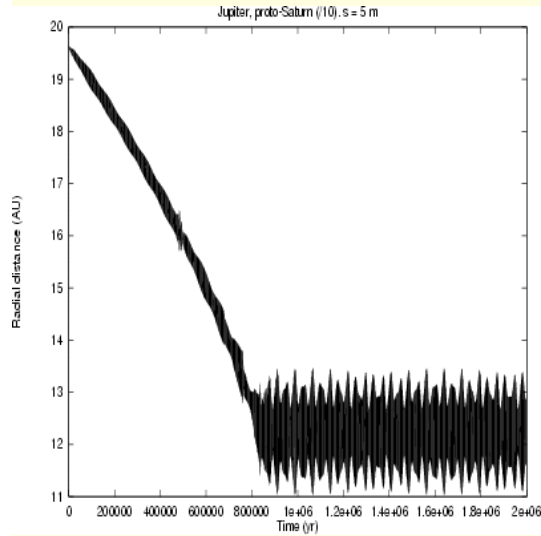
- tangential component of the radiation pressure
- opposite to the orbital motion,
- spirals into the Sun (increase orbital speed)

$$\vec{F}_{PR} = -\frac{\Phi}{c^2} \vec{V}$$

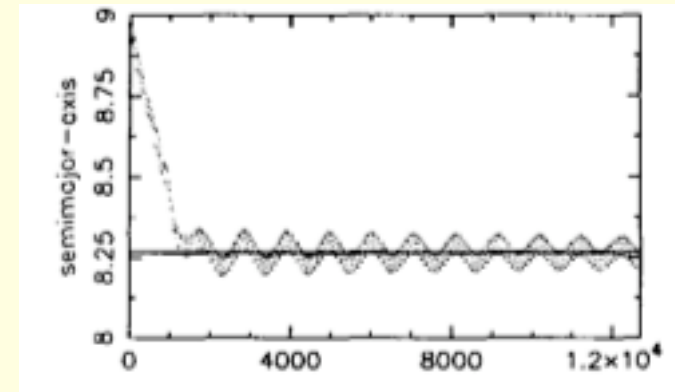
- Grains
- Dust
- Nebula or ring
- Decreasing a

$$-\vec{V}$$

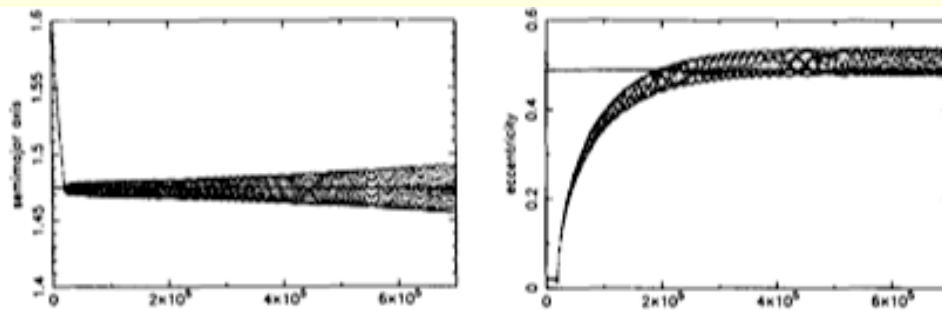
Capture of grains in external resonances



Gomes (PR drag) 1995



Beaugé and Ferraz-Mello (Stokes drag) 1993



Beaugé and Ferraz-Mello (PR drag) 1994

Yarkovsky secular effect

- Thermal properties of the body
- Optical properties of the surface
- Illumination from the Sun
- Orbital dynamics



Lageos

- thermal conductivity $< \infty$
- surface temperature not immediately increased
- time lag between maximum illumination and maximum temperature
- anisotropic emission of thermal radiation
- maximum intensity at a finite angle to the Sun's direction
- cold morning, warm noon, hot afternoon

Diurnal effect

- some portions of the surface illuminated for longer times during any rotation (spin inclination - eccentricity)
- anisotropic emission of thermal radiation
- resultant force along the spin axis (to the "winter" hemisphere)

Seasonal effect

Diurnal effect

- Spherical body
- Circular orbit
- Spin orthogonal to the orbital plane
- Prograde rotation (retrograde)
- Spiral outward (inward)
- $da/dt > 0$ ($da/dt < 0$)
- negligible for fast rotations

Seasonal effect

- Spherical body
- Circular orbit
- Spin in the orbital plane
- North and south hemispheres
- A and C : max illumination
- delay : thermal inertia
- B and D : max reradiation force
- globally : spiral inward
- $da/dt < 0$

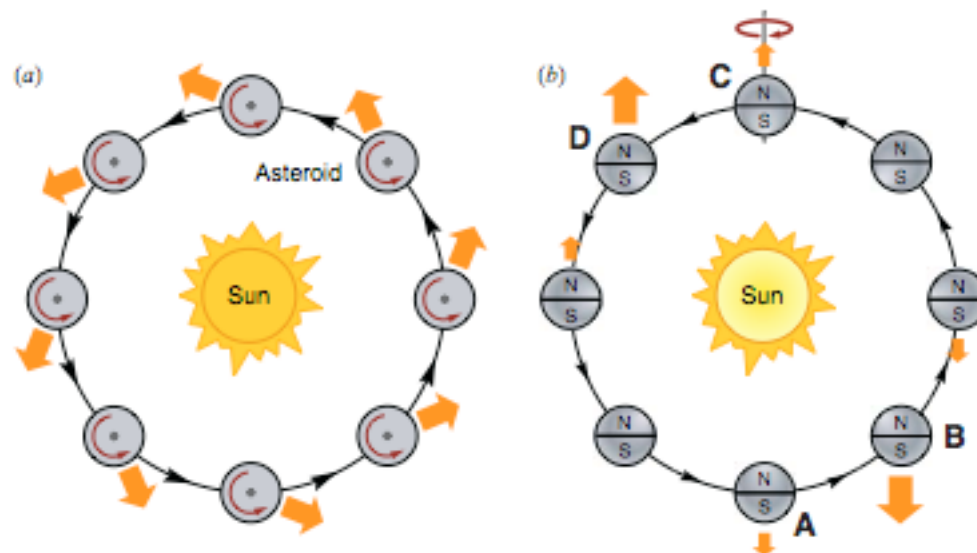
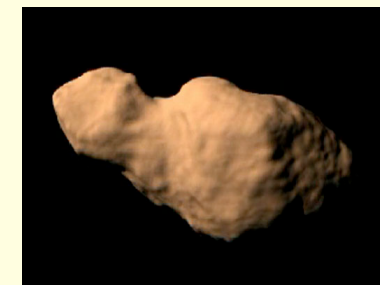


Figure 1

(a) The diurnal Yarkovsky effect, with the asteroid's spin axis perpendicular to the orbital plane. A fraction of the solar insolation is absorbed only to later be radiated away, yielding a net thermal force in the direction of the wide arrows. Because thermal reradiation in this example is concentrated at about 2 PM on the spinning asteroid, the radiation recoil force is always oriented at about 2 AM. Thus, the along-track component causes the object to spiral outward. Retrograde rotation would cause the orbit to spiral inward. (b) The seasonal Yarkovsky effect, with the asteroid's spin axis in the orbital plane. Seasonal heating and cooling of the "northern" and "southern" hemispheres give rise to a thermal force, which lies along the spin axis. The strength of the reradiation force varies along the orbit as a result of thermal inertia; even though the maximum sunlight on each hemisphere occurs as A and C, the maximum resultant radiative forces are applied to the body at B and D. The net effect over one revolution always causes the object to spiral inward.

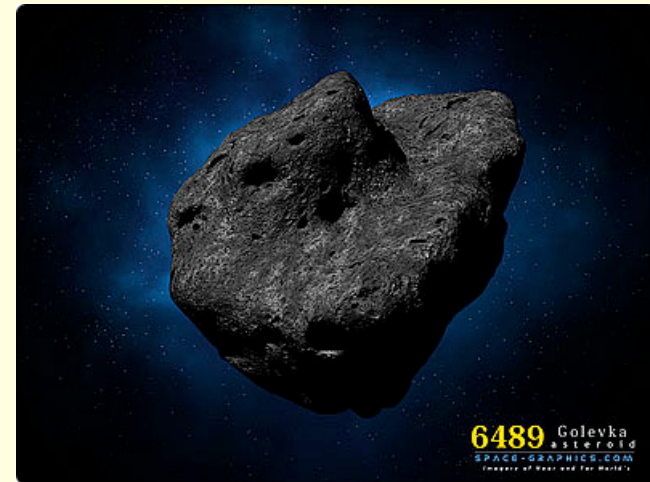
Obliquity
Spin rate
Size ($D < 20$ km)
Surface conductivity
Solar distance

Real situations : contributions of diurnal and seasonal aspects



Measured Yarkovsky effect

- 6489 Golevka (NEA)
- 0,5 km size
- radar observations
in 1991, 1995, 1999, 2003
- A shift of 15 kms on 12 years
on the semi major axis
- Chesley et al (2003)



The surface of an asteroid is heated by the Sun during its day and cools off during its night; the asteroid emits more heat from its afternoon side. This unbalanced thermal radiation produces a tiny acceleration.

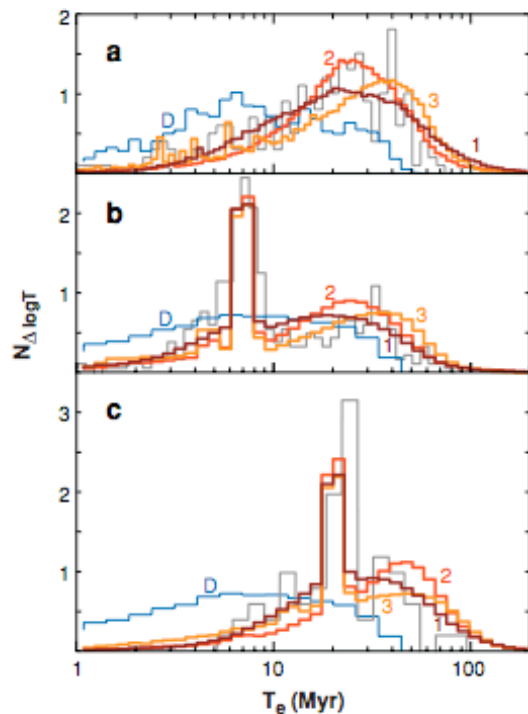
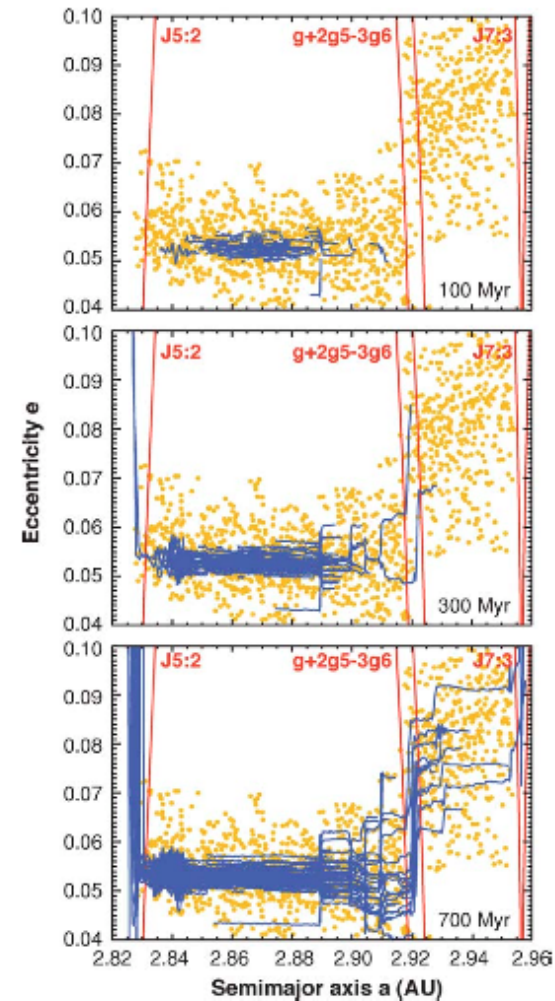


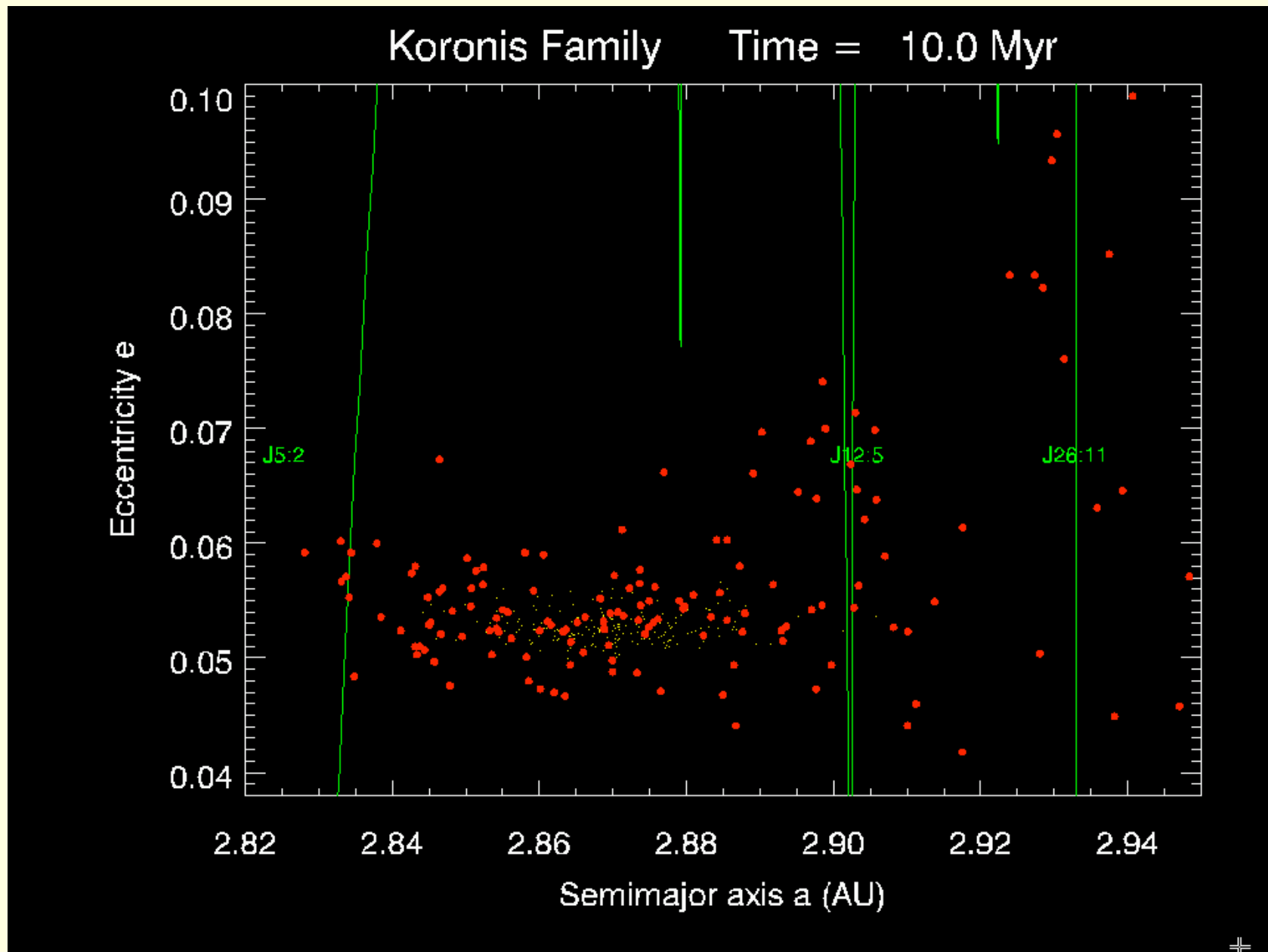
Figure 3

Comparison of the modeled and observed CRE age distributions for three different meteorite types (data—gray histograms). We show results of the direct-injection scenario with no Yarkovsky mobility (D histogram, blue) and the model including Yarkovsky mobility of the meteoroids and their precursors (bold full-line histograms, orange and red). Histograms 1, 2, and 3 refer to thermal conductivity values of 0.0015, 0.1, and 1 $\text{W m}^{-1} \text{K}^{-1}$, respectively. Part (a) assumes ejecta from asteroid Flora whose computed CRE ages are compared with the observed distribution for 240 L-chondrites. Part (b) assumes ejecta from asteroid (6) Hebe and the comparison with 444 CRE ages of H-chondrites. Part (c) assumes ejecta from asteroid (4) Vesta, compared to the CRE age data for 64 HED (howardite-eucrite-diogenite) meteorites. In all cases, the intermediate K value appears to provide the best match to the data. Note that the direct injection scenario would always predict many more short CRE ages than are observed, and a shortage of ages between 20 and 50 My, which is not observed.

Age distributions for 3 types of meteorites
 Data histograms
 Classical models
 Including Yarkovsky (3 thermal conductivity)

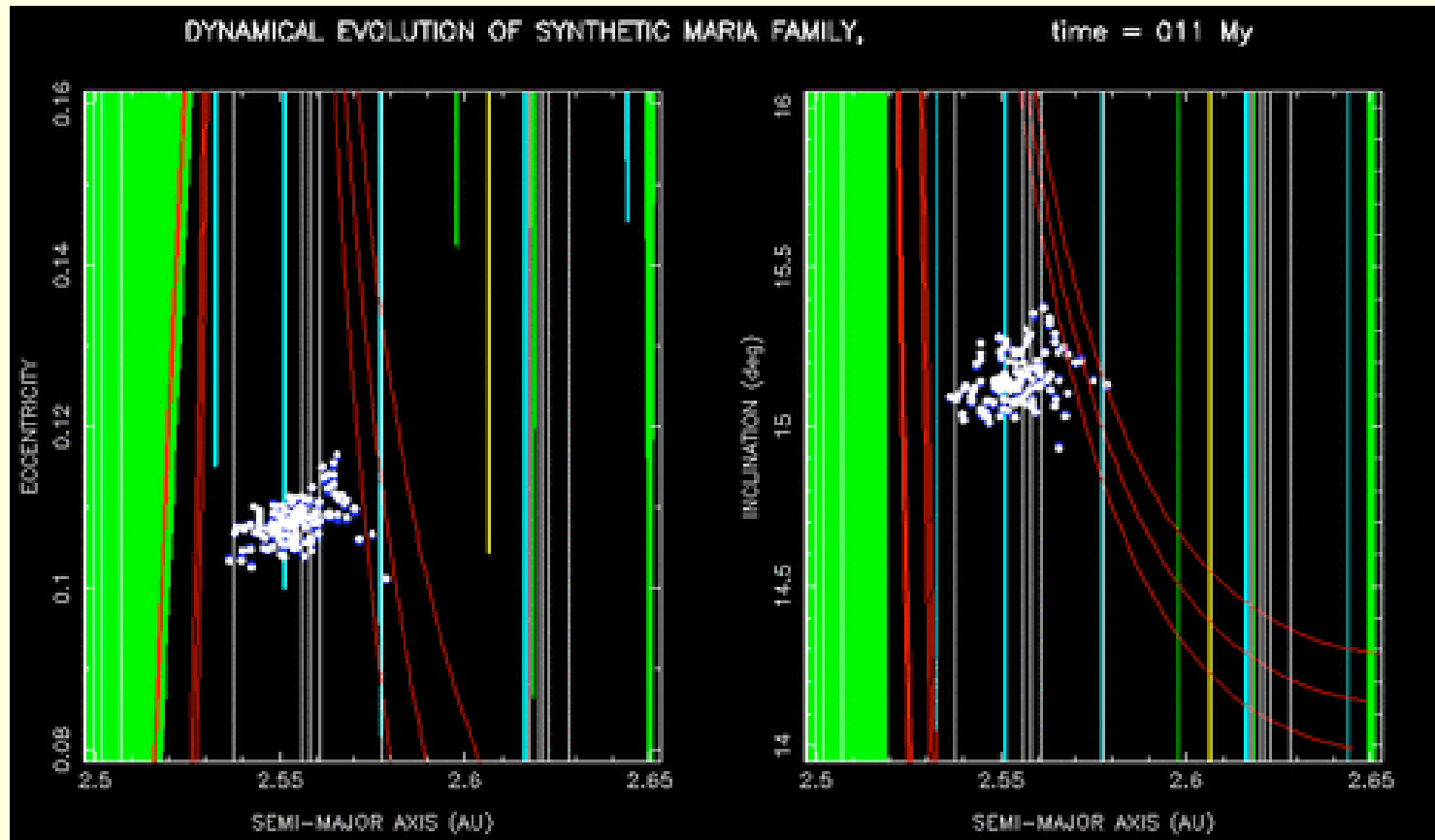


Simulation of Koronis family
 Initial distribution + Yarkovsky
 Fragments of different sizes
 Different trappings and histories

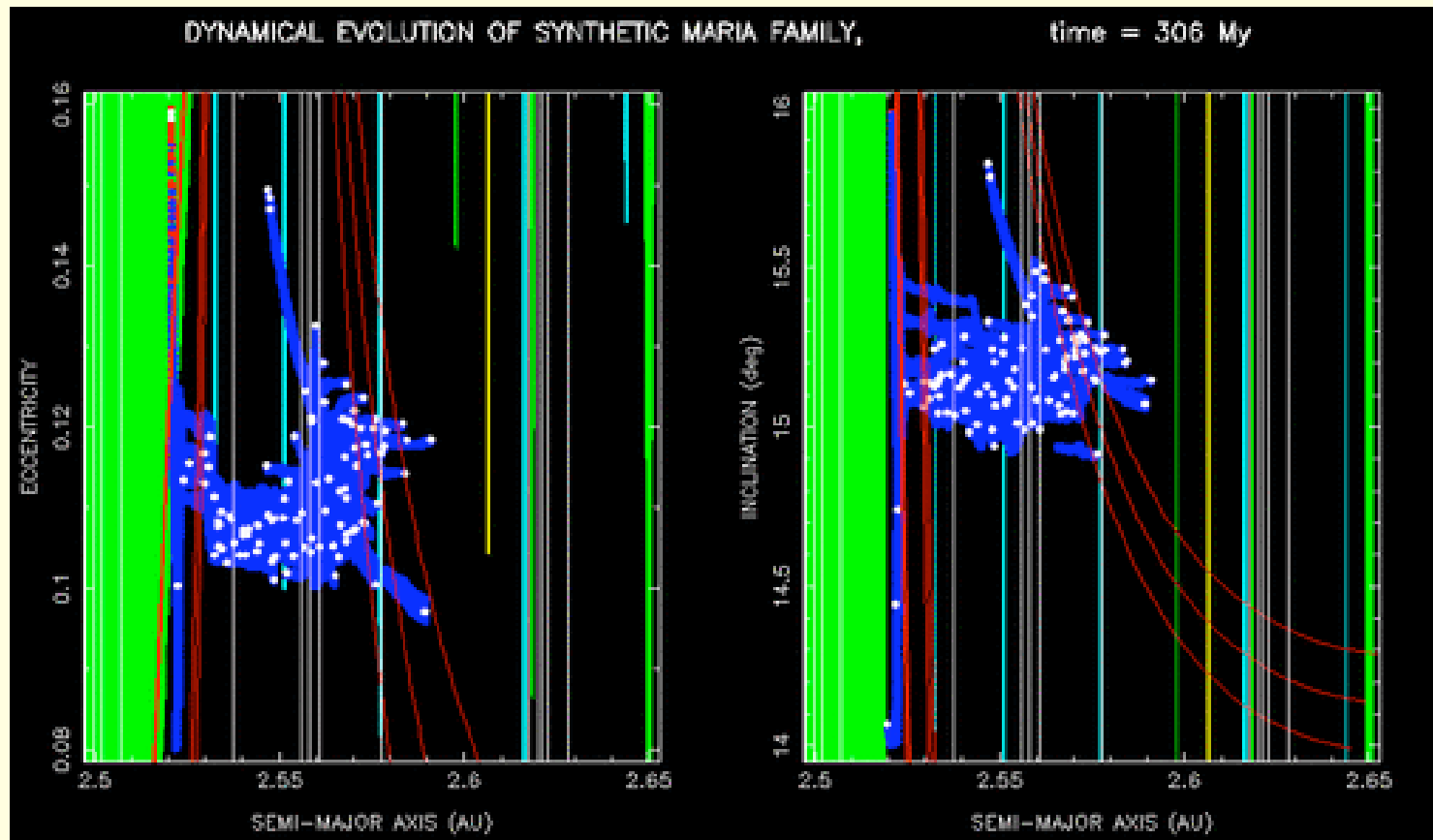


Bottke 2001

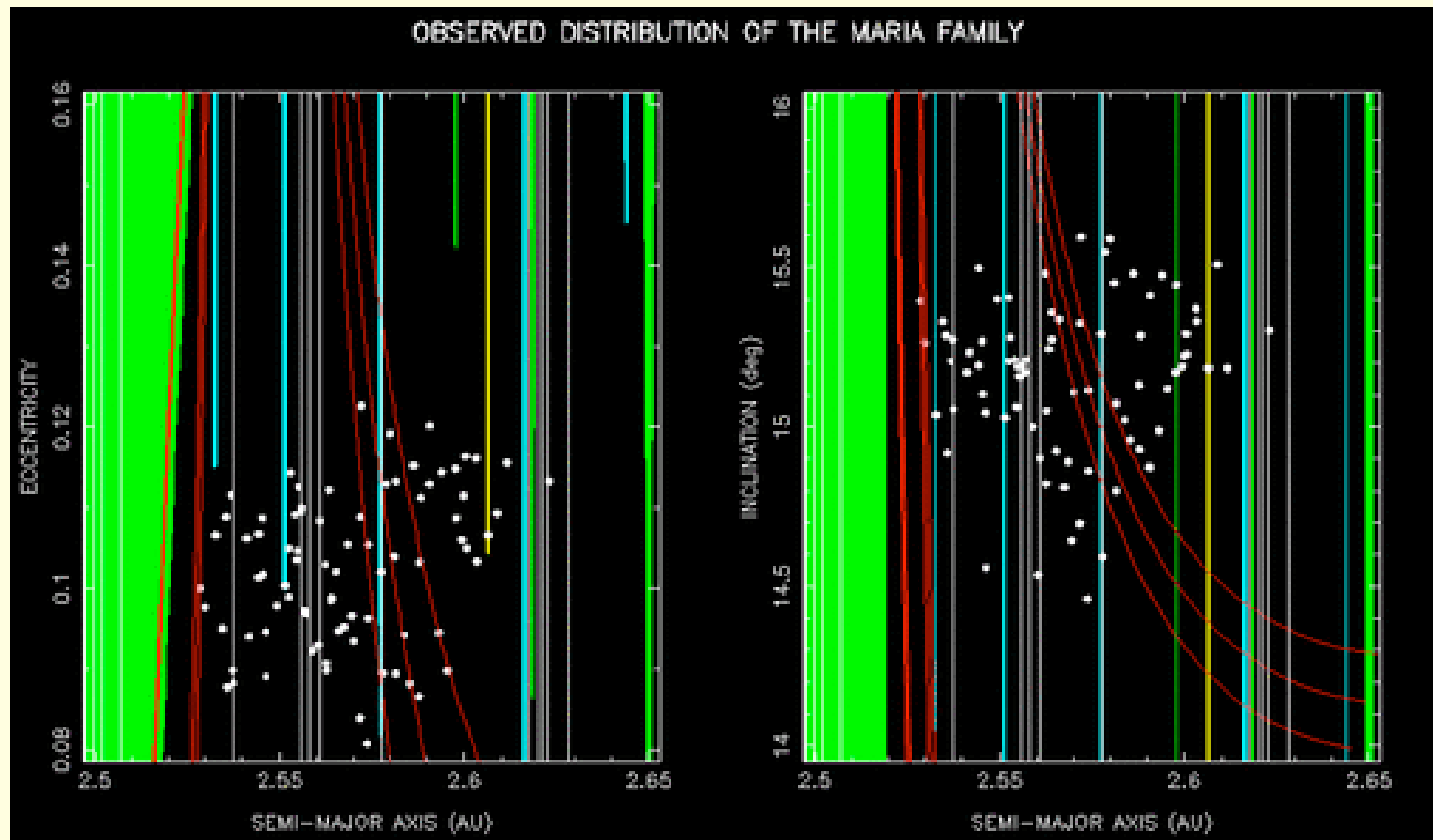
Maria Family



Maria Family

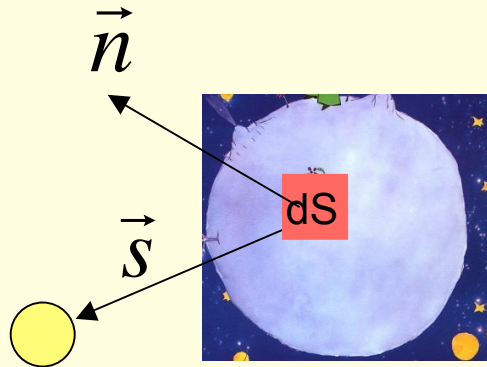


Maria Family



- Yarkovsky effect efficiency proved on hundreds of millions of years
- Other non gravitational forces ?
- Importance of non gravitational forces on short timescales ?

Direct radiation pressure
Thermal emission

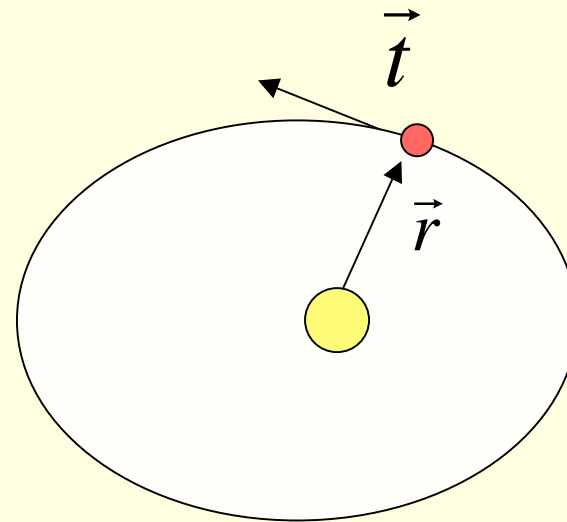


Local surface coordinates

$$\vec{s} \cdot \vec{n} = \cos \beta$$

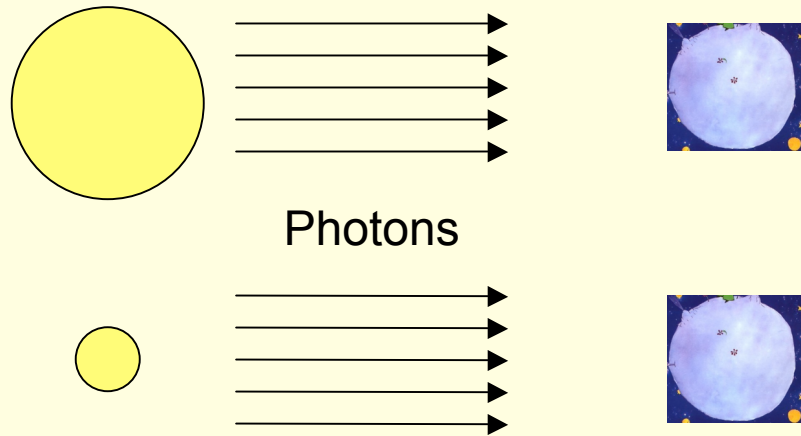
$$r \gg R_A : \vec{r} \cong -\vec{s}$$

Orbital coordinates



$$\left(\vec{u} = \frac{\vec{r}}{r}, \vec{t} = \vec{w} \times \vec{u}, \vec{w} = \frac{\vec{l}}{l} = \frac{\vec{r} \times \vec{v}}{l} \right)$$

Direct radiation pressure



Photons

Sun = point mass

Transfer of linear momentum
from the photons to the surface
= direct radiation pressure

Photons =
Energy + Linear
Momentum

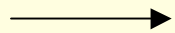
Energy flux $\longrightarrow \Phi_S$
(per unit area)

Linear momentum $\longrightarrow \Phi_S / c$
flux (per unit area)

c = speed of light

3 mechanisms : $\alpha + \rho + \delta = 1$

Photons



Absorption (black body) : α

Reflection (mirror) : ρ

Diffusion (reemission) : δ

$$\vec{F} = -\frac{\Phi_S}{c} \iint_{S-Sun} \left[(1 - \rho) \cos \beta \vec{s} + \left(\frac{2}{3} \delta + 2\rho \cos \beta \right) \cos \beta \vec{n} \right] dS$$

dS = element of the outer surface

\vec{s} = direction of the Sun

\vec{n} = normal to the surface

$$\vec{s} \cdot \vec{n} = \cos \beta$$

S-Sun = portion of the outer surface
Illuminated by the Sun

Absorption : α



$$d\vec{F} = -\frac{\Phi_s}{c} \alpha \cos \beta dS \vec{s}$$

Transfer of linear momentum from the photons to the surface due to absorption

Cross section of the surface = $\cos \beta dS$

Cos $\beta > 0$ required for the illumination (convex shape)

Asteroids : $\alpha > 1/2$

$$\vec{s} \cdot \vec{n} = \cos \beta$$

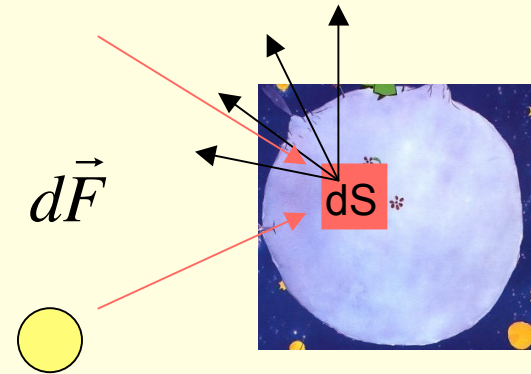
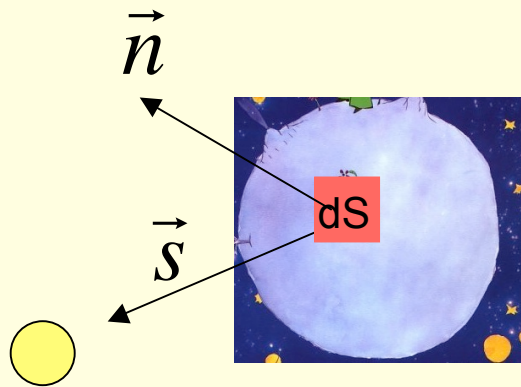
Reflection : ρ



$$d\vec{F} = -\frac{\Phi_s}{c} \rho 2 \cos^2 \beta dS \vec{n}$$

Transfer of linear momentum + recoil momentum

Diffusion : δ



$$d\vec{F} = -\frac{\Phi_s}{c} \delta \cos \beta dS (\vec{s} + \frac{2}{3} \vec{n})$$

$$\frac{\int_0^{2\pi} d\lambda \int_0^{\pi/2} \cos^2 \theta \sin \theta d\theta}{\int_0^{2\pi} d\lambda \int_0^{\pi/2} \cos \theta \sin \theta d\theta} = \frac{2}{3}$$

First step : absorption of the photons
(direction of the Sun)

$$\boxed{-\vec{s}}$$

Second step : reemitted in different directions
(resultant = direction of the normal)

$$\boxed{-\vec{n}}$$

Transfer of linear momentum : 2 components

Total force : direct radiation pressure

$$\alpha + \rho + \delta = 1$$

$$\vec{F} = -\frac{\Phi_S}{c} \iint_{S-Sun} \left[(1 - \rho) \cos \beta \vec{s} + \left(\frac{2}{3} \delta + 2\rho \cos \beta \right) \cos \beta \vec{n} \right] dS$$



Shape of the asteroid : no symmetry

→ \vec{F} not applicable to CM

α , ρ and δ not necessary constants

Ideal case : sphere radius R with constant α , ρ and δ

$$\vec{F} = \frac{\Phi_S}{c} A \vec{r}$$

with $A = \left(\alpha + \rho + \frac{13}{9} \delta \right) \pi R^2 > \pi R^2$ effective cross section

change in the gravitational mass of the Sun
(radial force, intensity inversely proportional to the distance to the Sun)



Quasi-periodic terms in time - no secular effects

Remark : A / m coefficient

- Geostationary debris
- 2 body problem + direct radiation pressure
- Numerical integrations by Anselmo (2005)
- Very large A/m

Sphere : $A = 4 \pi R^2$

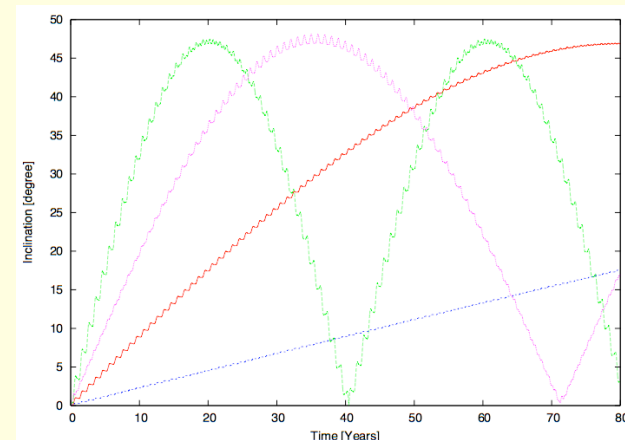
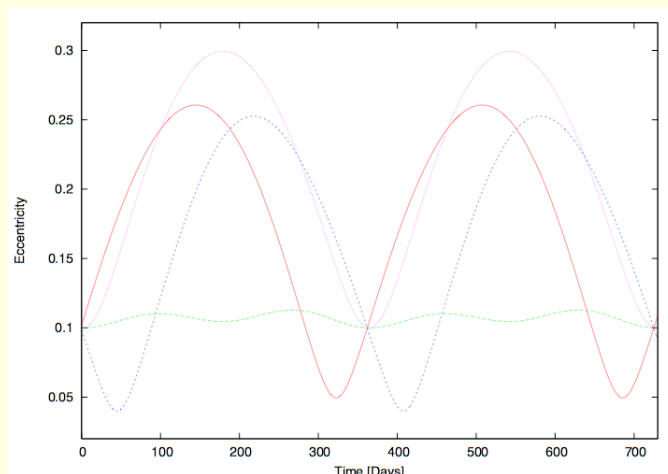
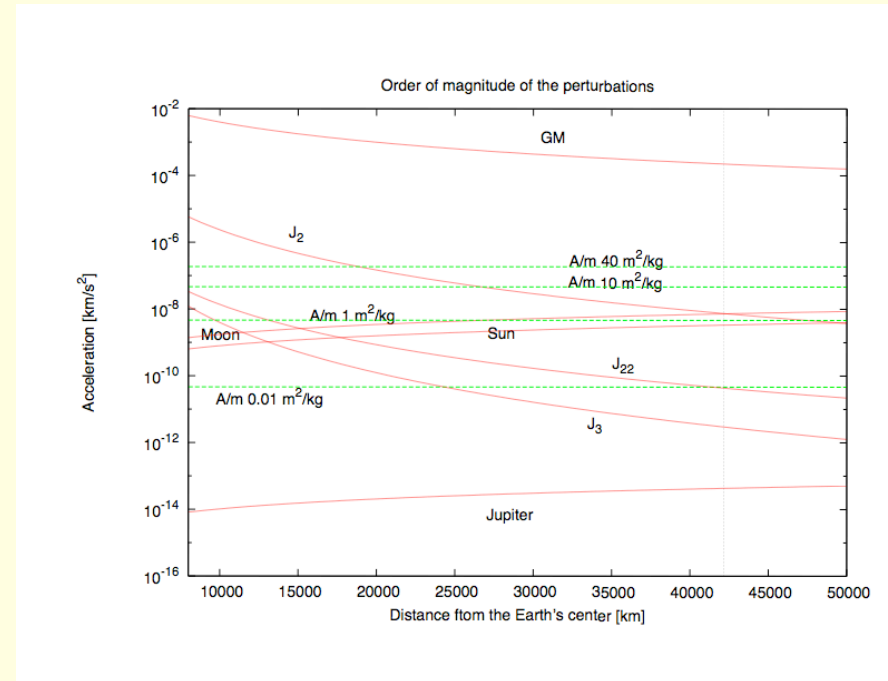
$m = 4/3 \pi \rho R^3$

$A / m = 3 / \rho R$

Square Thin Plate : $A = 2 R^2 + 2 R \varepsilon + 2 R \varepsilon$

$m = R^2 \varepsilon \rho$


$A / m = 2 / \rho \varepsilon$



Secular effects on the semi-major axis

2- body problem + non gravitational force :

$$\vec{a} = -\frac{GM}{r^3} \vec{r} + \frac{\vec{F}}{m}$$

 (R,T,W)

Reference frame linked to the orbital plane

$$\left(\vec{u} = \frac{\vec{r}}{r}, \vec{t} = \vec{w} \times \vec{u}, \vec{w} = \frac{\vec{l}}{l} = \frac{\vec{r} \times \vec{v}}{l} \right)$$

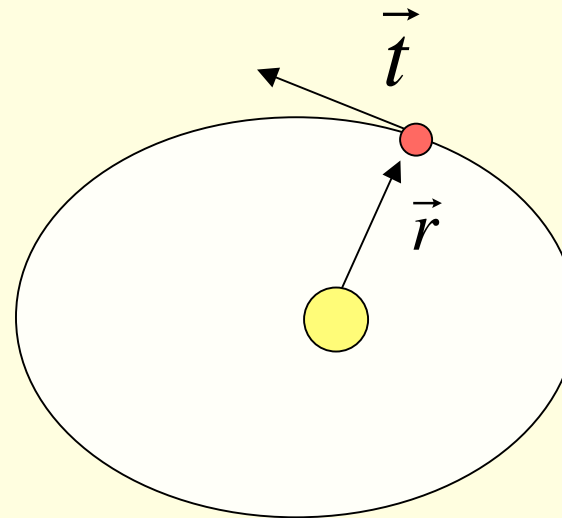
$$\frac{dE}{dt} = \vec{F} \cdot \vec{V} = R V_R + T V_T + W 0$$

$$= \frac{GM}{l} (T + e (R \sin f + T \cos f))$$




$$\frac{GM}{2a^2} \frac{da}{dt}$$

f = true anomaly
n = mean motion



for a circular orbit

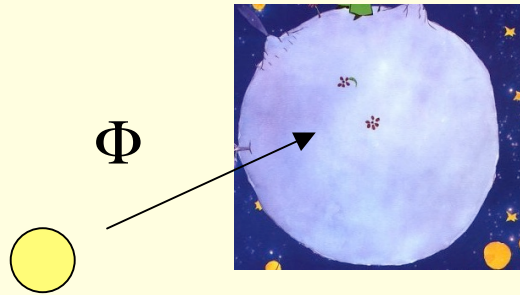
$$\Delta a = \frac{4\pi}{n^2} \bar{T}$$



$$Acc = a \frac{d^2 M}{dt^2} = a \frac{dn}{dt} = -3T + o(e)$$

= 0 if the body is symmetric - regular - ideal
= average contribution of T (along track)

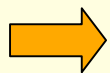
Thermal emission



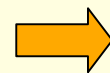
Transformation of the absorbed fraction of $\alpha\Phi$ into heat (T)

Surface temperature not constant over the surface and with time

Reemission of thermal radiation



linear momentum



acceleration

Corresponding force for each dS of the surface

$$d\vec{F} = -\frac{2 \varepsilon \sigma T^4}{3 c} dS \vec{n}$$

σ = constant of Stephan-Boltzmann
 ε = emissivity (=1 for black body)

Perfect sphere with isothermal surface :

$$\iint_S d\vec{F} = \vec{0}$$

$$\iint_S d\vec{F}$$

< direct radiation pressure (In most cases)
 = for dark objects ($a = 1$) C- type asteroids

Yarkovsky secular effect

- Thermal properties of the body
- Optical properties of the surface
- Illumination from the Sun
- Orbital dynamics
- Time Delay between maximum illumination and maximum temperature

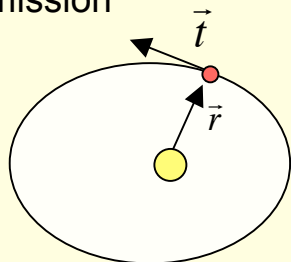
- Spherical body
- Circular orbit
- $da/dt > 0$ ($da/dt < 0$)

Diurnal effect

- Spherical body
- Circular orbit
- $da/dt < 0$

Seasonal effect

Averaged along track component of the thermal emission



γ is the (orbital) obliquity of the spin axis
 ω is the rotation frequency, n the revolution frequency

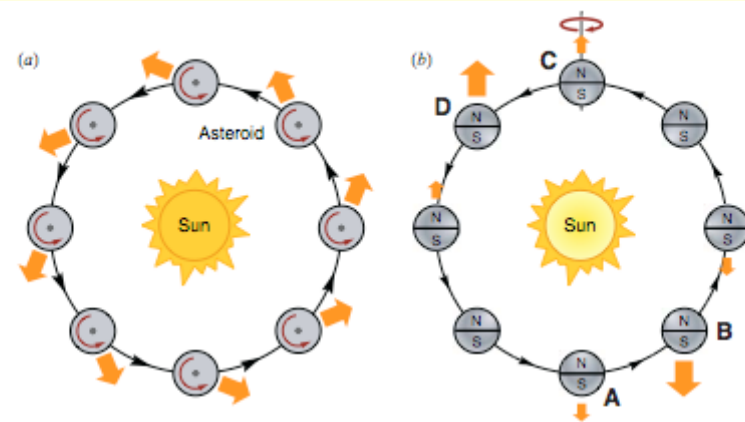


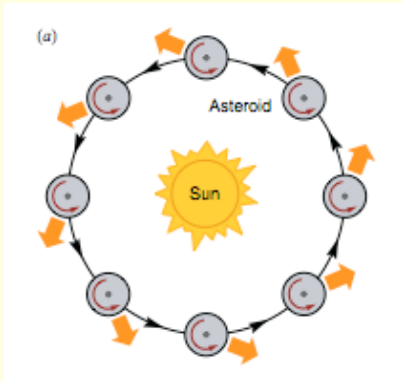
Figure 1

(a) The diurnal Yarkovsky effect, with the asteroid's spin axis perpendicular to the orbital plane. A fraction of the solar insolation is absorbed only to later be radiated away, yielding a net thermal force in the direction of the wide arrows. Because thermal reradiation in this example is concentrated at about 2 PM on the spinning asteroid, the radiation recoil force is always oriented at about 2 AM. Thus, the along-track component causes the object to spiral outward. Retrograde rotation would cause the orbit to spiral inward. (b) The seasonal Yarkovsky effect, with the asteroid's spin axis in the orbital plane. Seasonal heating and cooling of the "northern" and "southern" hemispheres give rise to a thermal force, which lies along the spin axis. The strength of the reradiation force varies along the orbit as a result of thermal inertia; even though the maximum sunlight on each hemisphere occurs as A and C, the maximum resultant radiative forces are applied to the body at B and D. The net effect over one revolution always causes the object to spiral inward.

$$\left\langle \frac{da}{dt} \right\rangle_{diurnal} = \frac{8}{9n} \alpha \Phi F_w \cos \gamma + O(e)$$

$$\left\langle \frac{da}{dt} \right\rangle_{seasonal} = -\frac{4}{9n} \alpha \Phi F_n \sin^2 \gamma + O(e)$$

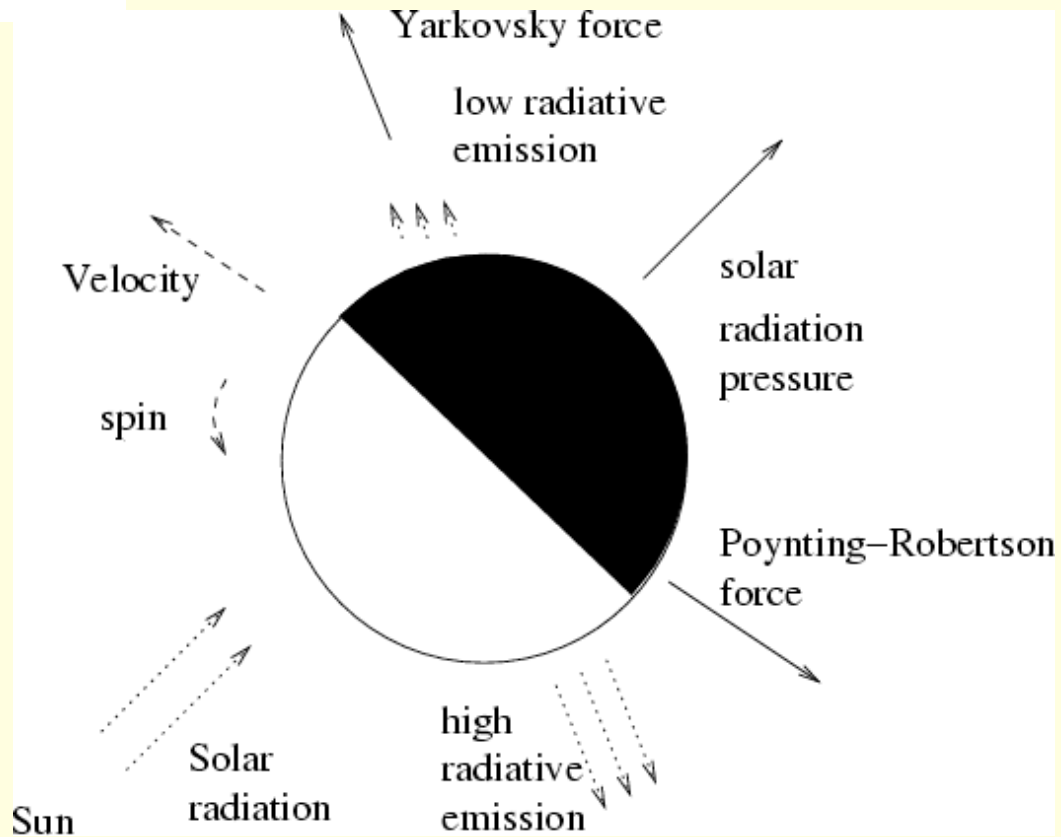
$$F_w \text{ and } F_n \geq 0$$



Diurnal Yarkovsky (prograde rotation)

$$\vec{F} = \frac{\Phi_S}{c} A \vec{r}$$

Direct Radiation Pressure



$$\vec{F}_{PR} = -\frac{\Phi}{c^2} \vec{V}$$

Poynting-Robertson drag

$$d\vec{F} = -\frac{2 \epsilon \sigma T^4}{3 c} dS \vec{n}$$

YORP effect

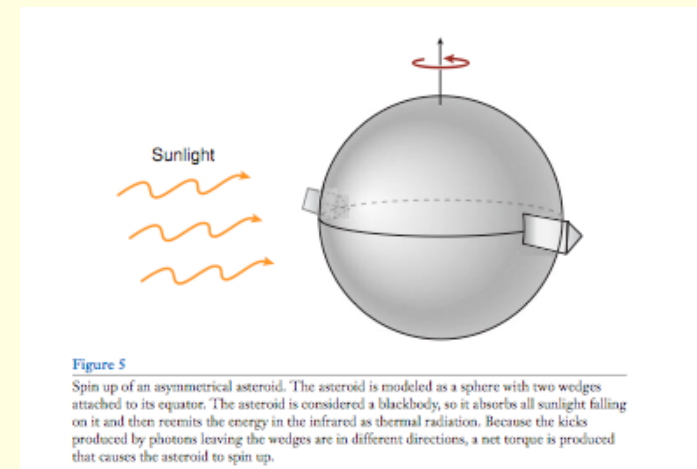
Yarkovsky - O'Keefe- Radzievskii - Paddack

$$\vec{F} = \iint_S d\vec{F} \quad \vec{T} = \iint_S \vec{r} \times d\vec{F}$$

Sunlight alters spin

- YORP = corresponding thermal Torque
- Modifications of spin rates and axis orientations (obliquities)
- Asteroids with irregular shapes : sphere + 2 wedges
- Kicks of the photons on the wedges are in different directions
- The asteroid spins up (or the opposite)
- Asteroid = surface made of N triangles

Farinella - Vokrouhlicky - Rubincam -
Bottke - Nesvorny - Morbidelli



Comparison

Asteroid NEA
D = 500 m
 $\rho = 2 \text{ gr / cm}^3$
M = 200 10^6 kg

Max Radiation Force = $4 \cdot 10^{-11} \text{ m / sec}^2$ at 1 AU

$$\Delta a = \gamma \text{ 3,3 m in a day}$$

$$= \gamma \text{ 100 m in a month}$$

$$\gamma = \cos \left(\sum \vec{F}_{non\ grav}, \vec{V} \right)$$

n = 0.012 /day

γ = asymmetry of the surface
= 0 for a “ideal” body
 γ changes slowly with time

Measured Yarkovsky : $\Delta a = 100 \text{ m in a year}$

(γ is only a few percent : average T with respect to the max)

$a = 3,156 \text{ AU}$
 $e = 0,156$
 $i = 2,2^\circ$

Shape of the asteroids - binaries



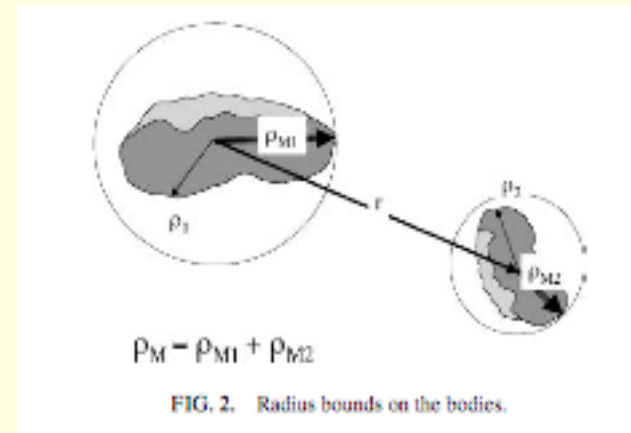
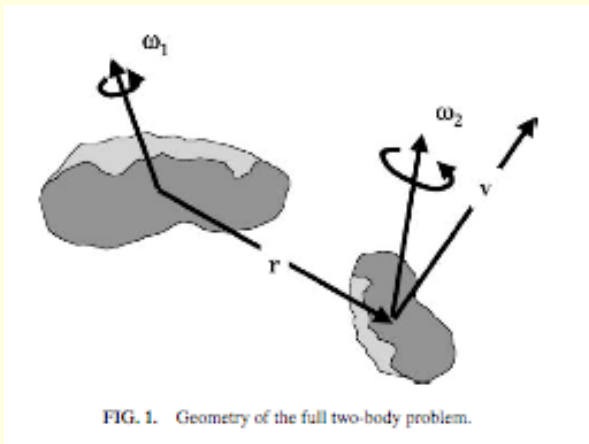
90 Antiope Twin rubble pile ?
(IMCCE + Berkeley)

$\rho = 1.25 \text{ gr / cm}^3$ (30 % empty space)
 $r = 171 \text{ kms}$
 $R = 86 \text{ kms}$

Period = 16.5 days

Elongated rubble pile (Themis catastrophic event - $2.5 \cdot 10^6$ years)
 -- > two egg-shaped rubble piles (mutual gravitation)
 -- > each a Roche ellipsoid (hydrostatic shape) (< 7% spheres)

P. Descamps (IMCCE) (March 2007)
The formation of such a large double system is an improbable event and represents a formidable challenge to theory



Triple asteroid (Silvia)



Conclusions

- Ephemerides : individual
- Strongly dependent on non gravitational perturbations
- Radiation pressure and thermal emission
- Dependence on the properties of the surface, the conductivity, the temperature
- Dependence on the spin rate, orientation of spin axis and orbital parameters
- All these parameters are functions of time
- Coupled problem : orbit and rotation
- Dependent on the masses and densities

Real challenge for the scientific community

Tholen classification (refined by SMASS)

The most widely used taxonomy for over a decade has been that of **David J. Tholen** in 1984.

This classification was developed from broad band spectra (between 0.31 μm and 1.06 μm) obtained during the Eight-Color Asteroid Survey (ECAS) in the 1980s, in combination with **albedo** measurements.

The original formulation was based on 978 asteroids.

This scheme includes 14 types with the majority of asteroids falling into one of three broad categories

C-group dark carbonaceous objects, including several sub-types

B-type, F-type, G-type

C-type the remaining majority of 'standard' C-type asteroids.

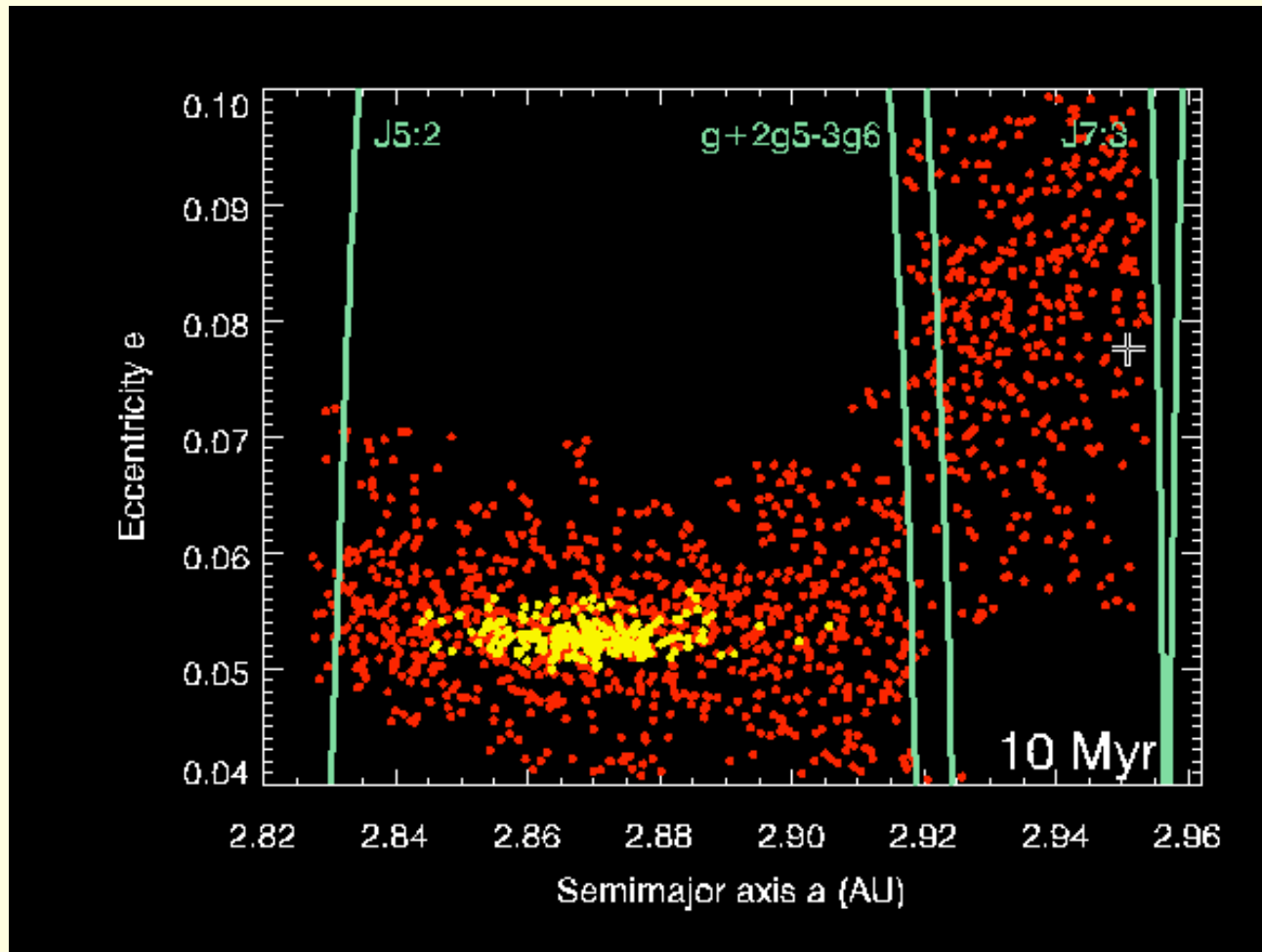
This group contains about 75% of asteroids in general.

S-type siliceous (i.e. stony) objects.

This class contains about 17% of asteroids in general.

X-group

- M-type metallic objects, the third most populous group.
- E-type differ from M-type mostly by high albedo
- P-type differ from M-type mostly by low albedo



(Bottke 2002)